Imperial College London

# **Geotechnics and Energy**

# 56<sup>th</sup> Rankine Lecture

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## The energy conundrum

Global energy demand rising 20% per decade

# Imperative to cut greenhouse gas emissions

Geotechnical contributions towards resolving grand engineering challenge

W J M Rankine: 1820-1872 Thermodynamics & geotechnical pioneer

#### Three main Parts, each with paired topics

I – Maintaining supplies: Offshore oil & gas platform foundations & deepwater landslide risks

II - Climate change: Geotechnical impact & engineering adaptation

III – Renewable energy: Mono & multi-pile offshore windturbine structures for shallow & deeper water

#### **Broader aspects**

Transferring offshore research to civil engineering

And three general geotechnical themes

Integrating geology, experiments, analysis & field observations

Collaborative research & engagement with Industry, as cited throughout

Practical tools: "As simple as possible, but no simpler"

# **Measurements & predictions for North Sea foundations**



rutton TEP. Special Sensors

Similar groups, but under tension High resolution static & storm data Groups of 9x2.1m steel tubes driven 82m, hard glacial tills

Dynamic storm loading data

## **Revolutionary floating Hutton Tension Leg Platform**

Load-cells, ±0.03mm IC gauges, static & storm monitoring



Jardine, McIntosh & Hight 1988



1.83m OD piles driven through deep glacial clays & sands

## **Predictive methods**

Conventional API Pile FE, t-z & p-y soil 'spring' curves, elastic group factors

#### Small strain & FE

Stress-path tests, local instruments Non-linear stiffness

Shear 
$$G/p' = f(\epsilon_s)$$
  
Bulk  $K'/p' = g(\epsilon_{vol})$ 

ICFEP; Mod Cam Clay & Mohr-Coulomb models

Installation & Coulomb interface

Non-linear group interaction

Jardine & Potts 1988, 1993



#### Foundation stiffness: measurements & predictions



## Field tests with Imperial College Pile

Axial load, local  $\sigma_r$ ,  $\tau_{rz}$  & pore pressure, multiple h/R levels

Bond, Jardine & Dalton 1991

Installation, equalisation & loading Six clay & sand sites in UK & France Bond 1989, Lehane 1992, Chow 1997

'ICP method' from field, lab tests & theory; Jardine & Chow 1997, Jardine et al 2005

Checks: 149 test ICP-05 database Recent updates: With ZJU, Yang et al 2017 With NGI, UWA & Fugro, Lehane et al 2017



Greatly reduced CoVs, predictive bias eliminated

## **ICP** précis

Field SI: CPTu q<sub>c</sub> profiling & sampling Laboratory: interface shear  $\delta'$ ,  $\sigma'_{v0}$  & clay OCR, Sensitivity S<sub>t</sub>

Base:  $q_b = f(q_c)$ 

Shaft: effective stress failure  $\tau_{r_7} = \sigma'_{r_f}$  tan  $\delta'$ 

Sand Clay Pre-loading  $\sigma'_{rc} = q_c f(\sigma'_{v0}, h/R^*)$   $\sigma'_{rc} = \sigma'_{v0} f(OCR, S_t, h/R^*)$  $R^* = [R_{outer}^2 - R_{inner}^2]^{0.5}$ At failure Compression  $\sigma'_{rf} = \sigma'_{rc} + \Delta \sigma'_{rd}$  $\sigma'_{rf} = 0.8 \sigma'_{rc}$  $\approx 25\%$  lower Tension same  $\Delta \sigma'_{rd} = \delta r 2G/R$ Dilation  $G = f(q_c, \sigma'_{v0})$ 

 $\delta r = pile roughness$ 

## **Applications & checks**

All Shell platforms from 1996

Instrumented driving & storm response monitoring

Field performance assessed: 13 case histories, Overy 2007



<image>

Better reliability & economy, reduced installation risks Critical to marginal projects Overy & Sayer 2007

Sand method: API RP2 GEO and ISO

#### Storm performance?

#### Shearwater A; piles driven in 1997 Response to $\approx$ 20 year storm, December 27<sup>th</sup> 1998



#### 2.13m OD, 51m: hard clays & dense sand

Waves at Tern

#### Single piles push & pull, deck sways; Hunt 1999



#### **Research questions posed by field experience**

Ageing after full pore pressure equalisation? Stiffness & capacity?

> Cyclic loading? Impact & assessment for design?

Full stress regime around driven piles? Poorly understood, beyond accurate analysis?

#### Field: ageing study in dense Dunkirk sand

Steel pipe piles 457mm x 19m Parker, Jardine, Standing & Xavier 1999

Driving is key: Bored piles behave differently! Puech et al 2013



Jardine & Standing 2000, 2012; Jardine, Standing & Chow 2006

#### **Shaft capacity from 1st time tests**

Capacities grow markedly over months after driving

Re-tests: more complex & disrupted trends



#### Adding tests by Gavin et al 2013 & Karlsrud et al 2014



## Model: Grenoble calibration chamber experiments with Pierre Foray



- 36mm OD ICP; Jardine et al 2009

Fontainebleu NE34 & GA39 sands Wet & dry, Dunkirk I<sub>D</sub> range

> 150 kPa surcharge Constant stress, rigid or 'active' radial boundaries

Stainless & mild steel piles, Driven & jacked

Post-docs: M Emerson, B Zhu, Z Yang, C Tsuha; PhDs: Rimoy 2014, Silva 2015



Pile penetrating

#### Model: arching round shaft after installation



If arch relaxes through creep  $\sigma'_r \&$  shaft capacity rise

Benchmarks for numerical analyses

Yang et al 2014, Rimoy et al 2015

#### **Dataset includes micro-mechanical observations**



Yang, Jardine, Zhu, Foray & Tsuha 2010

## Analysis: Arbitrary Lagrangian-Eulerian FE, with grain crushing



Zhang, Nguyen & Einav 2014

## **'ALE' FE predictions of arching**



Similar to  $\sigma$  measurements, maxima within 30%

Add shaft abrasion & cycling to improve predictions?

Or tackle with Discrete Element Method?

Zhang, Yang, Nguyen, Jardine & Einav 2014

#### **DEM analysis: Ciantia et al 2017**

0.4m x 1m 'sand mass' -  $5x10^5$  crushable  $d_{50} = 8.5$ mm grains

Matches q<sub>b</sub> – penetration curve & arching stresses



Analysis converging towards experiments

## Influence of pile & grain diameters?

Interface shear zone controlled by  $d_{50}$ 

Mini-ICPs: less capacity growth than field

New field tests: NGI, UCD & Grenoble-IC

Also offshore re-drive checks

Confirm shaft capacity growth over time

Next objective: stress regime around tubular driven piles?



2.13m OD, 38.5m, very dense sand

Borkum Riffgrund, German North Sea

Jardine, Thomsen, Mygind, Liingaard & Thilsted 2015

#### **Parallel axial cyclic research**

Field: Dunkirk piles' global response

Model: local stresses in calibration chamber

Laboratory: cyclic element tests

Analysis: simplified procedure

Practical application: sands & clays

## Dunkirk field piles: Unstable, Stable or Metastable global response?



## **Global response: 14 Dunkirk field tests**



Local stress response? Mini-ICP experiments

#### Model: mini-ICP in dry NE34 sand at Dunkirk I<sub>D</sub>

Local interface stress paths

**Stable:** 1000s cycles, capacity grows No drift in  $\sigma'_r$  or displacements

Intermediate load controlled cases:  $\sigma'_r$  drift rates tracked precisely Tsuha, Foray, Jardine, Yang, Silva, & Rimoy 2012; Jardine 2013

#### Analysis: simple approach from ICP tests

Metastable & Unstable  $\tau_{rz}$  cycles compact sand near shaft,  $\sigma'_r$  unloads, paths drift towards interface failure

Locate metastable, stable boundaries? Relate  $\sigma'_r$  drifts to cyclic loads & N? Element, model or field pile tests?

Design storm:

Rainflow & 'Equivalent Number of cycles'

Bored piles far more susceptible to cycling

Tests must model driven pile installation



# Triaxial tests: modelling 'pile' paths



#### **'Driven pile' triaxial tests: p' drifts over 4500 cycles**

Seven CSRs:  $0.05 \le q_{cyclic}/p'_0 \le 0.5$ 

High resolution sensors: consistent strain & stiffness trends



Aghakouchak, Sim & Jardine 2015

## HCA - simple shear cycling, Hollow Cylinder Apparatus



72mm OD sample HCA

Track changes in  $\sigma'_n$  matching pile conditions

#### Measure $\sigma'_1$ , $\sigma'_2$ , $\sigma'_3$ & $\sigma'_1$ angle a



Nishimura 2006 Liu 2015

#### HCA simple shear: drifts in $\sigma'_n$ over 4500 cycles

'Driven pile' cyclic HCA tests, five CSRs,  $0.05 \le \tau_{cyc}/p'_0 \le 0.45$ 

#### Basis for predicting pile response



Aghakouchak 2015

### Analysis: projecting pile response zone limits

Aghakouchak 2015

Dunkirk field & triaxial predictions

NE34 model & HCA predictions



Parallel laboratory work with clays Jardine, Puech & Andersen 2012

#### **Application in cyclic storm assessment**



**Clair & Clair Ridge** Extreme West of Shetland storms; hard glacial tills: Hampson et al 2017 **Captain: EOR project** North Sea; new & reconfigured platforms; dense sand, tills & clays: Argiolas & Jardine 2017

Effective contributions on continental shelf

What about deepwater?
### **Deepwater**

# **Off the continental shelf**

# Large offshore landslides

Gulf of Mexico example – Sigsbee Escarpment

### Pushing the envelope: 1997-2005, after Evans 2007



### **Sigsbee escarpment: Gulf of Mexico**



### Sigsbee geomorphology



**Developers' risk analysis:** mechanisms & recurrence?

Controlled by active geology & sedimentation

Spreading, uplifting salt diapirs & thick Quaternary clay layers

### **Geology: geophysics & deep boreholes**



constrains & distorts Quaternary sediments

### **Ground modelling**



Quaternary Horizons H6 to H0 include high  $I_{\rm p}$  low residual  $\phi'$  clay layers

Routine stability analysis cannot model delayed progressive failure

Or predict historical and future slide recurrence periods

### Numerical ICFEP 'bottom-up' modelling of last 600,000 years

Alternating medium & high  $I_p$  layers added sequentially: Modified Cam Clay

Sedimentation on 1° slope towards initial 10° escarpment face



Parabolic basal uplift applied from H3 onwards

### 1<sup>st</sup> principles modelling: slope genesis & failure



Sets conditions for future stability

### Analysis of slope since last slide

Long term swelling, overconsolidation, progressive failure

Coupled, strain softening Mohr Coulomb model From triaxial & IC ring shear tests

Less plastic clays & sand: ductile  $\phi'_{crit}=25^0 \& \phi'=30^0$ 

Non-linear permeability & stiffness from lab tests

### **Predicting future risks**

1.3km long failure likely, progressive & delayed mechanism



#### Recurrence interval: c. 5000 years

Critical to project risk assessment

Field verification? Local geomorphology & sediment dating

### Part I – Maintaining oil & gas supplies

# Contributions to continental shelf & deepwater field developments

### What about climate consequences & risks?

### **Intergovernmental Panel Climate Change, IPCC, model predictions**

'Business as usual': 3-5.5°C mean warming by 2100

Mean +1°C from 1750 non-uniform

Future trends?



Very likely: less Arctic sea ice & shallow permafrost

Likely: more frequent intense precipitation

Medium confidence: storm track shifts

Increasing flood risks

### **Part II – Addressing climate change**

1<sup>st</sup> Modelling geotechnical response Greatest impact: permafrost

Integrated approach, field verification

New design tools for adaptive engineering

2<sup>nd</sup> Practical adaptive engineering

Flood defence strengthening on difficult ground

### Permafrost: depths up to 1km

### North American permafrost

1°C/decade N Alaskan permafrost warming, Romanovsky 2015

0.5 to 1°C/decade air rise in Yukon



Thermal creeping landslides in sporadic permafrost

YT' landslide, glaciofluvial soils Little Salmon Lake, Yukon Lyle et al 2014

NW Territories: thaw-slump features, up to 25m deep & 30ha www.nwtgeoscience.ca/project/summary/permafrost-thaw-slumps

### **Predicting fate of Siberian permafrost**

Imperial College project for BP

Climate: Global IPCC models & local adjustments Imperial College Physics: Reifen & Toumi 2009

Local design tool: coupled Thermo-Hydro-Mechanical THM modelling tool with UPC Barcelona Nishimura, Gens, Olivella & Jardine 2009

Regional: Depths & rates of change in permafrost state? GIS, Engineering Geology & Thermal FE Nishimura, Martin, Jardine, & Fenton 2009

### 1000 x 1000km region, Lake Baikal, Siberia

#### Maps, atlases & Google Earth

#### Digital Elevation Models & ground reconnaissance

Five ground model stereotypes



### **Rolling hills, taiga forest**

Cambrian to Ordovician sedimentary rocks Permafrost, from 60m thick to absent Three geotechnical profiles



Detailed characterisation: Martin 2009

### **Geothermal FE Analysis:** Nishimura, Martin, Jardine & Fenton 2009

Key ground properties: Porosity & grading Top boundary: air temperature snow cover & surface transfer





Base boundary: regional flux

### **Analysis**

Aim: predict temperature T variations with depth x & time t

$$\frac{\partial u(T)}{\partial t} - \frac{\partial}{\partial x} \left[ \lambda(T) \frac{\partial T}{\partial x} \right] - q = 0$$

$$\frac{\partial u(T)}{\partial t} = \frac{\partial}{\partial t} \left[ \left\{ c_s \rho_s(1 - \varphi) + c_l \rho_l S_l(T) \varphi + c_i \rho_i (1 - S_l(T)) \varphi \right\} T + l \rho_l S_l(T) \varphi \right]$$

- c = specific heat  $\rho$  = density I = specific latent heat
- s = solid I = water i = ice

1-D heat equation

 $S_I = degree of pore liquid-ice saturation \phi = porosity$ 

 $\lambda$  = thermal conductivity

### **Analysis**

Conductivity ( $\lambda$ ) combines solid (s), liquid (l) & ice (i)

$$\lambda(S_l) = \lambda_s^{1-\varphi} \lambda_l^{S_l \varphi} \lambda_l^{(1-S_l)\varphi}$$

Freezing function:  $S_{l} = f(T)$  depends on porosity & grading:

$$S_{l} = \frac{a\rho_{s}(1-\varphi)}{\rho_{l}\varphi} |T|^{-\beta}$$
 a &  $\beta$  specified for Profiles A, B, C

Batch-processing for FE database, hundreds of cases Thermal maps: point-by-point `speed-dating' matching

Surface: Air temperature, snow &  $n_t'$  transfer factor, slope & aspect Ground Profile: A, B or C Base flux: 0.02 to 0.05 W/m<sup>2</sup>



### **Results for one 60x80 km area**

Permafrost top temperatures

°C

1940 & 2000 predictions: Validated against field survey

2059 climate: SRES A2 case

Melting landscape, CH<sub>4</sub> release

Slope & foundation failures

Infrastructure distress

Adaptive design New THM analysis tool Validated: Calgary pipeline tests Naples metro ground-freezing



### Climate change – 2<sup>nd</sup> topic

'Nuts & bolts' of practical geotechnical adaptive design

Strengthening flood dikes on weak peat foundations

In The Netherlands

With Deltares, Rijkswaterstaat & HHNK Water Authority

Zwanenburg & Jardine 2015

### **Uitdam, north of Amsterdam**

30 km dike fails stability checks

Improve at minimum environmental & economic cost?

Geotechnical controversies:

Models for peat? Effects of past loading? Failure mechanics? Drained or undrained?

Resolve by field, lab & theoretical studies



### **Uitdam 1.6ha test site**

18 boreholes, geological logging in-situ profiling & lab testing

4.5m peat, H2 - H3 Von Post, over clay

85% organic, 750 < w < 1200%

Unit weight  $\approx$  water, low  $\sigma'$ 

Thin surface 'crust'

Oedometer yield:  $8 < \sigma'_{vv} < 14$  kPa

Triaxial & DSS:  $5 < s_u < 10 \text{ kPa}$ 

### Load tests to failure





Six instrumented foundations

Range of different geometries Controlled loading to failure

Short & long term, Single & multi-stage tests

Highly compressible; 1.5m settlement in 6 months under 35 kPa

### FE Analysis supported by lab & field testing

#### Analysis capturing details of undrained failures



Calibrate laboratory & field tests to iterative back-analyses

Develop range of parameter selection routes

Apply in dike remedial works

### In-situ s<sub>u</sub> test calibrations



Test 6 Area: pre-loading

Apply to profile consolidation s<sub>u</sub> gains for multi-stage cases

### Three calibrated s<sub>u</sub> selection routes for adaptive stability design

1. Factored in-situ tests: CPT, Ball Cone & Field Vane

2. 'SHANSEP'  $s_u/\sigma'_v$  – OCR function 3. Lab: interpretation scheme



### Addressing climate change

Modelling ground response & developing adaptive design

Simple & complex methods for range of climates, verified in field

Could add many more layers of complexity

Internationally agreed scientific conclusion:

Modelling plus adaptation is not sufficient, greenhouse emissions must fall

### Part III Supporting renewable energy

Aim: reduce offshore wind costs & enable deeper water projects

Foundations: up to 30% of capital cost, 22% on average

1<sup>st</sup> Deeper water sites
Apply oil & gas research
Address 'problem' geomaterial: Chalk

2<sup>nd</sup> Shallower monopile projectsModernise design: PISA Joint Industry Project

With Industry, UK Innovate, Carbon Trust, PISA partners

### **1<sup>st</sup> - Multi-pile structures for deeper water**

Jacket, tripod & floating support structures

Sand & clay sites

ICP from SI stage: East Anglia One

Small strain testing & analysis

102 three-leg platforms, Rattley et al 2017

Borkum West II 40 large tripods

New research for 'Problem' sites Chalk: Wikinger, German Baltic 70 four-leg jackets



### Borkum West II - German N Sea: Merritt et al 2012, Jardine et al 2015

40 tripods, 2.48m OD piles Dense sands & stiff clays 50 year storm cyclic loading

ICP: large cost reductions





# **Chalk sites: special problems for large driven piles**

Exposure under glacial till near Wikinger: Rugen, German Baltic Weak CaCO<sub>3</sub> easily damaged by impact & cycling Highly uncertain driving for Wikinger: Advance trials Load bearing? Advance offshore tests

### Tests on 1.38m OD piles for 70 jacket turbines in chalk: Wikinger

Barbosa, Geduhn, Jardine, Schroeder & Horn 2015

	Penetration	% chalk
WK38	16.2m	20
WK43	30.7m	66
WK70	31.0m	78

Three piles driven at each Dynamic monitoring

11 to 15 weeks' set-up

At each location Static tension to failure Instrumented restrike Cyclic test at WK38


# Test pile installation, October 2014: 17m Euro budget

First fully remote stage-loaded large scale seabed tests; 40m water



# Driving response: WK43, 1.38m OD pile





Instrumented dynamic monitoring:

Signal matching analysis: h/R\* trends

Worrying low driving resistances?

Re-drives show marked ageing

Linked to onshore ageing & cyclic: plain & ICP piles

> Barbosa et al 2017 Buckley et al 2017

# **Deploying test frame: December 2014**



Remotely controlled static and cyclic tension load tests



## WK38 turbine location test, 108 days after driving



#### Outcome: Wikinger foundations re-designed

### **Chalk: summary**

Dynamic, static & cyclic offshore tests

Initial design proven conservative: good return on investment

Research in progress

Production pile monitoring

Supporting ageing, cyclic & ICP chalk tests onshore in UK

Integrated with field studies on monopiles



# **PISA Joint Industry Monopile Project**

With Oxford & UC Dublin

Cut costs, enable deeper water use in sands & clays

Analysis, laboratory & large field tests

Replace standard p-y methods

Low L/D: add extra components

Calibrate: FE & stress path tests

Recognise: cyclic response

Byrne, McAdam, Burd, Houlsby, Martin, Zdravković, Taborda, Potts, Jardine, Sideri, Schroeder, Gavin, Doherty, Igoe, Muir Wood, Kallehave & Skov Gretlund 2015

# PISA design method: 'Simple as possible, but no simpler'





Only lateral p-y `springs' Little scope to capture detailed soil properties Four sets of 'springs', check by 28 instrumented driven steel piles Dunkirk & Cowden test sites

# **2m diameter piles under cyclic loading at Dunkirk**



## **3-D ICFEP analysis calibrated to advanced lab tests**

Dunkirk: dense marine sand

Two PhD testing programmes: stress path & HCA experiments

Cowden: Humberside

#### Sandy glacial till with stones & fissures HCA 'specimen sculpting' aided by CT scanning



# **Research by Ushev & Liu**



Compressibility, non-linear stiffness & shear strength Anisotropy, strain rate & cyclic dependency

## **3-D ICFEP soil models Calibrated to laboratory & in-situ tests**



Zdravković, Taborda, Potts, Jardine, Sideri, Schroeder, Byrne, McAdam, Burd, Houlsby, Martin, Gavin, Doherty, Igoe, Muir Wood, Kallehave & Skov Gretlund 2015

# Modelling 2m OD, 10.5m deep, Cowden piles



# Modelling 2m OD, 10.5m deep, Cowden piles



One of our 'broader themes'; the other three?

# First, integrated approach

Geology, experiments, analysis & full scale field behaviour



4<sup>th</sup> Rankine Lecturer, Professor Sir Alec Skempton 1914-2001

# Next, broad collaboration

Atkins BP Cambridge In-situ Chevron **Conoco Phillips** Deltares **DONG Energy** EPSRC ESG, formerly PMC Fugro Geotechnical Consulting Group, GCG Grenoble Tech, Laboratoire 3S-R Health & Safety Executive, HSE Iberdrola, Scottish Power Renewables Innovate UK Lankelma UK Offshore Wind Consultants, OWC Oxford University Royal Haskoning DHV Shell UK **UPC Barcelona** University College Dublin University of Western Australia Zhejiang University

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Andrew Merritt Michael Mygind Rory Mortimore David Nethercot Satoshi Nishimura Robert Overy Eric Parker Duncan Parker David Potts Mark Randolph Michael Rattley Siya Rimoy Way Way Sim Carlos Santamarina Felix Schroeder Matias Silva Philip Smith Jamie Standing David Taborda Ralf Toumi Christian Le Blanc Thilstead Cristina Tsuha Emil Ushev Robert Whittle Zhongxuan Yang Lidija Zdravkovic Bitang Zhu Cor Zwanenburg



# Fit for purpose practical tools

"As simple as possible, but no simpler"

Wide spectrum of complexity considered

Future challenges, one example per main part

I – Stress regime inside & around open driven piles?

II – Creeping thermal landslides?

III – Cyclic loading of monopiles & caissons?



# Geotechnical progress towards resolving the energy conundrum?

Maintaining safe & efficient offshore oil & gas supplies

Addressing climate impact & developing effective adaptation tools

Tackling problem at source: improving renewable energy economics Thank you

for your patient attention