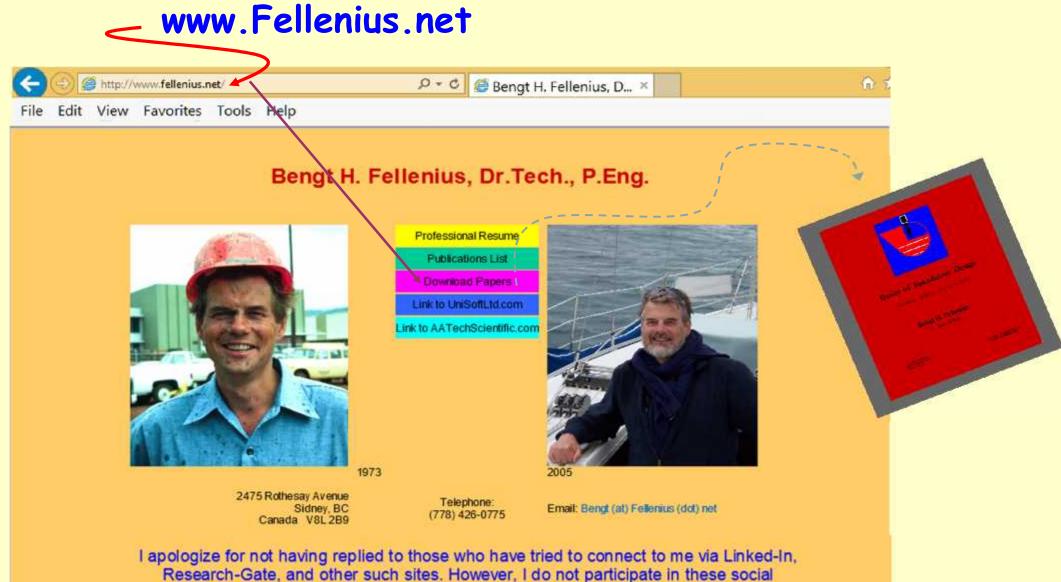


Pitfalls and fallacies in foundation engineering design Bengt H. Fellenius

Amsterdam

May 27, 2016

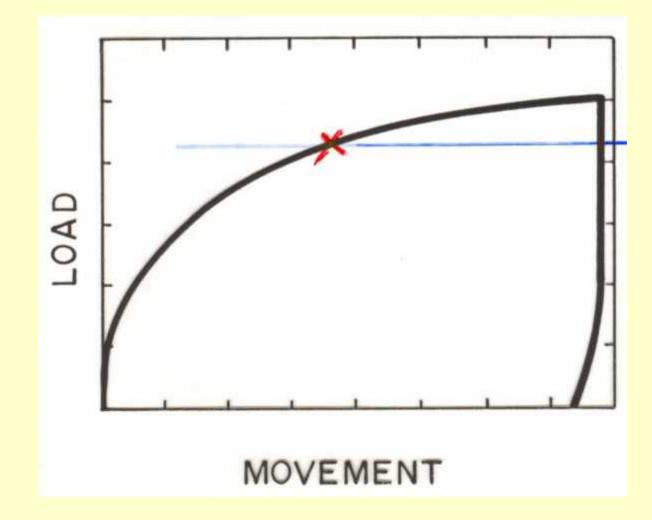


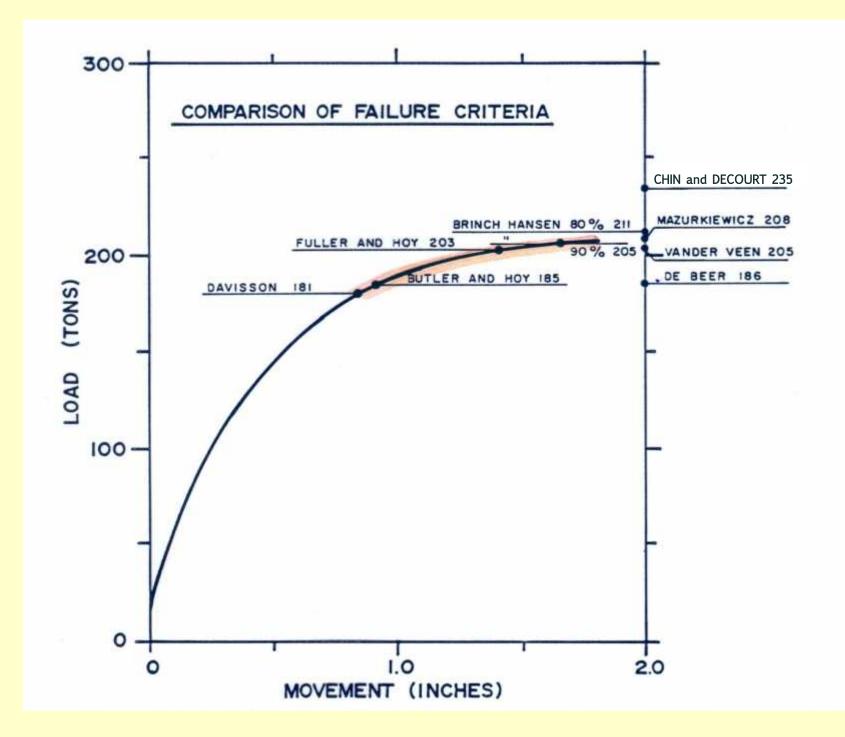
networks (not even Facebook). My name is still on these lists only because these sites do not allow people to opt out. I do reply to e-messages though.



The primary base for the design of a piled foundation is the pile capacity as determined in a static loading test. A routine static loading test provides the load-movement of the pile head...

and the pile capacity?





Some people consider the capacity to be the load applied to the pile head<sup>\*</sup>) that caused a movement equal to 10 % of the pile <u>head</u> diameter. This is usually claimed to be recommended by Terzaghi. He knew better than that!

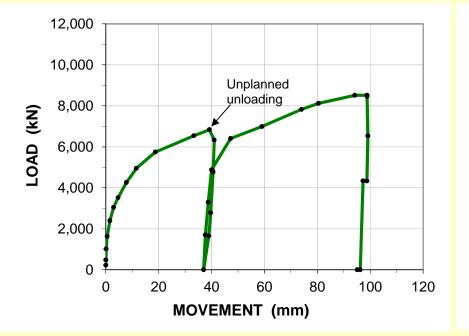
Terzaghis's recommendation, and he did give one (Terzaghi 1942), was this: <u>Do not try</u> to estimate pile capacity unless the pile toe movement is equal to at least 10 % of the pile toe diameter. I think you agree that this is a very different recommendation. I have tried to find out where the misquote started and it seems to have been first put forward by E. DeBeer in the early 1950's and then picked up from there by several of the "Old Masters".

Terzaghi was referring to pile with diameters of about 300 mm. I have seen the misquoted definition applied to piles with a diameter larger than 1.5 m! At times even to footings! The pile diameter, let alone the pile head diameter, has nothing to do with a pile capacity. It is sad that a few codes and standards have designated this silly and ignorant definition as the one to use, notably the EuroCode.

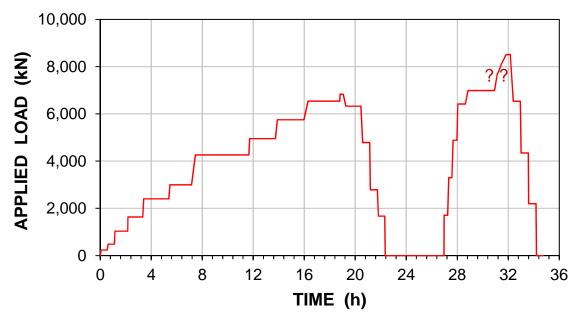
\*) EuroCode has now (2016) changed to refer the 10-% movement to the pile toe diameter. I do not think this is an improvement.

#### Araquari Prediction Event, IFCEE 2015, Brazil. Test results

Actual pile-head Load-Movement of the Araquari Prediction Event



Actual Load-Time Schedule of the Araquari Prediction Event



Actual pile-head Load-Movement of the Araquari Prediction Event

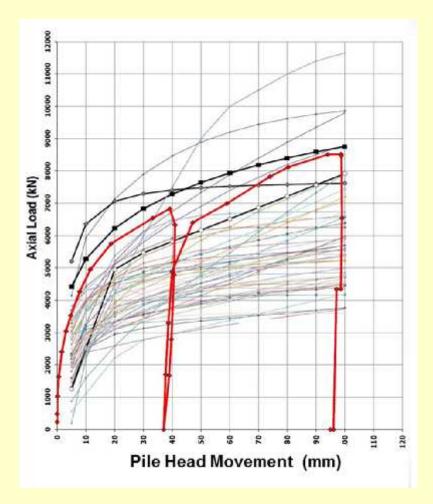
The participants in the prediction event were requested to provide the pile-head load-movement curve from start to 100 mm movement, i.e., the pile "capacity" of the 1,000 mm diameter pile, as defined by the Brazilian organizers. The pile was instrumented with strain-gages at several levels. However, the uneven load-holding durations and unequal load increments combined with the (unintended, as it were) unloading/reloading will make the evaluation of the straingage records ambiguous and unconvincing.

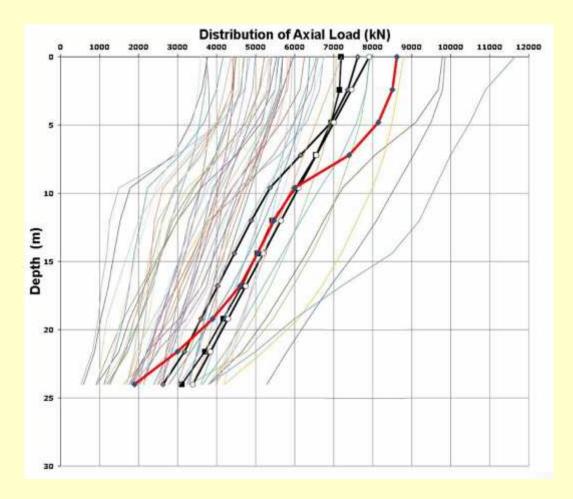
#### Cnt.

### Araquari Prediction Event — As Predicted and As Compared to Actual Results

#### **Pile-Head Load-Movements**

Load Distributions



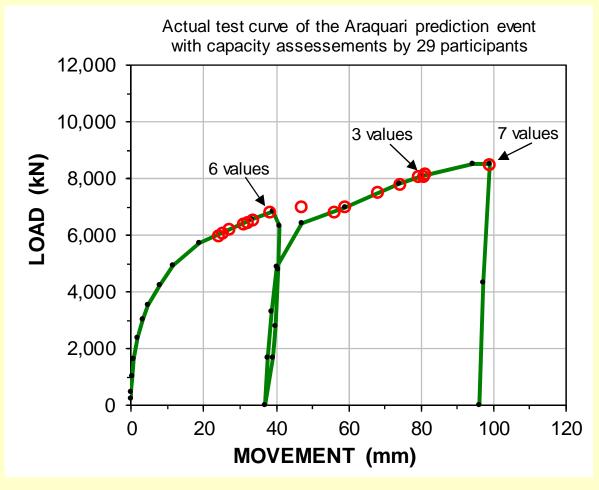


### Araquari Prediction Event, IFCEE 2015, Brazil. Assessments of Capacity

I was one of the participants in the prediction event. On receiving the actual test results after the conference, I wrote to all predictors and asked them to tell me what capacity they would asses from the actual load-movement curve.

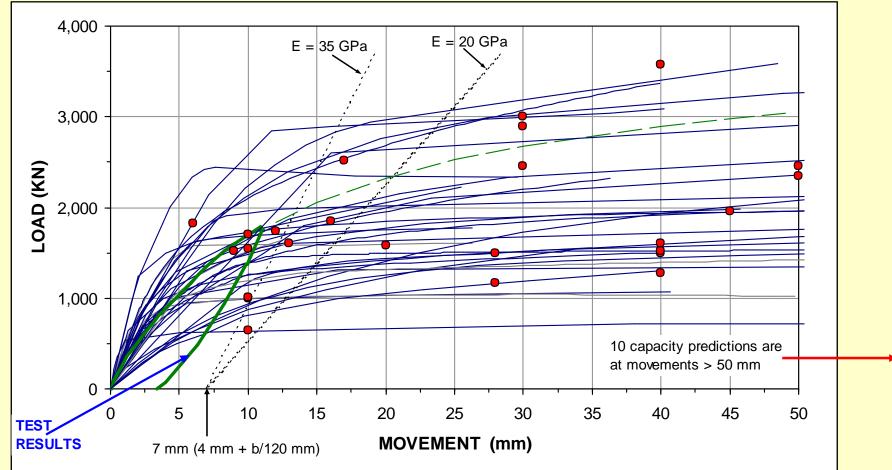
Note, that assessment is no longer a prediction, but an assessment of fact applying the participants usual method for determining capacity as achieved by a static loading test.

Twenty-nine of the participants replied giving me their capacity value.



### Edmonton, Alberta, 2011

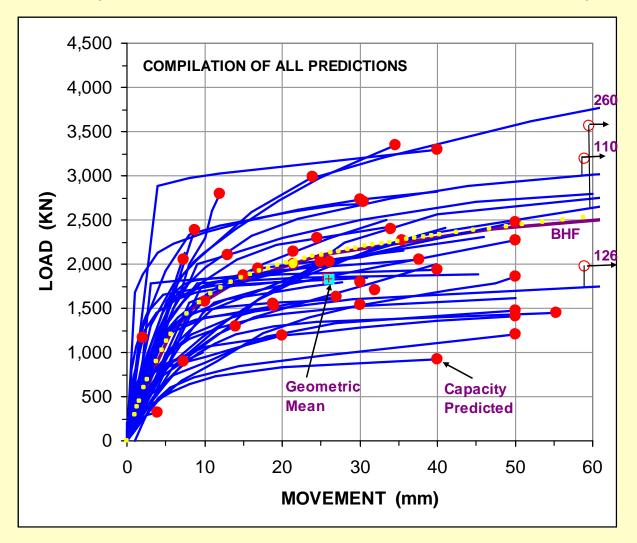
Prediction of load-movement and capacity of a 400-mm diameter, 18 m long, augercast pile constructed in transported and re-deposited glacial till.



### Prediction Event in Bolivia April 2013

450 mm diameter, 17.5 m long, bored pile in fine sand

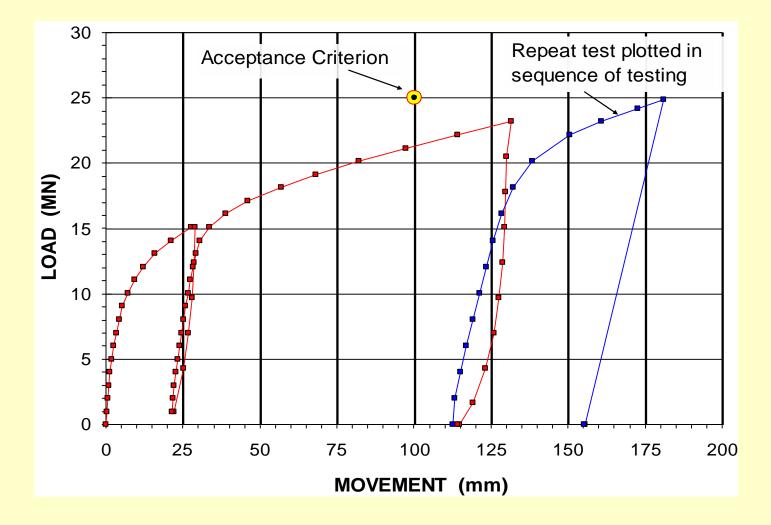
Compilation of predicted load-movement curves and capacities, TP1



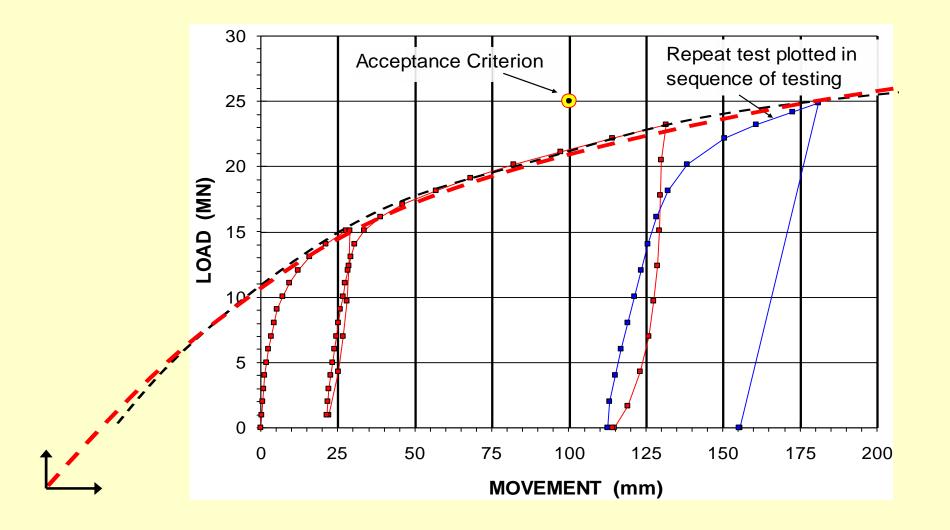
So, when designing foundations for capacity,

is applying a factor of safety or a resistance factor correct, safe, and economical, or do we by this approach open ourselves for litigation and demands on our liability insurance? What really do we learn from unloading/reloading and what does unloading/reloading do to the gage records?

# *Does unloading/reloading add anything of value to a test?* A test on a 2.5 m diameter, 80 m long bored pile

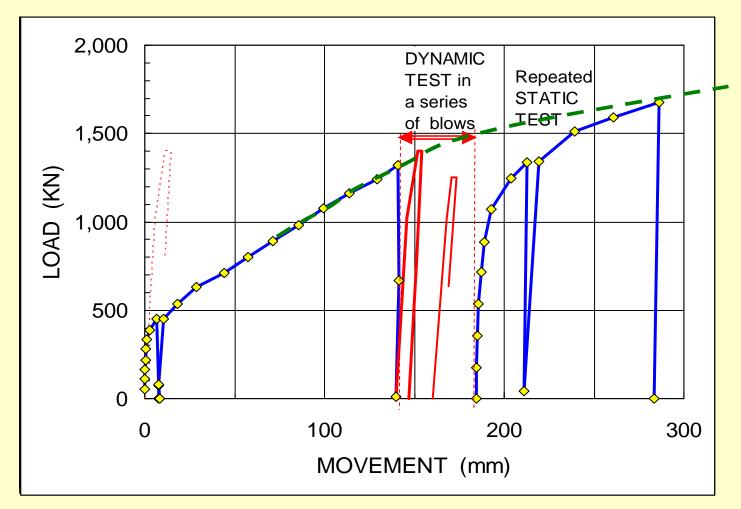


### Plotting the repeat test in proper sequence



Also the best field work can get messed up if the analysis and

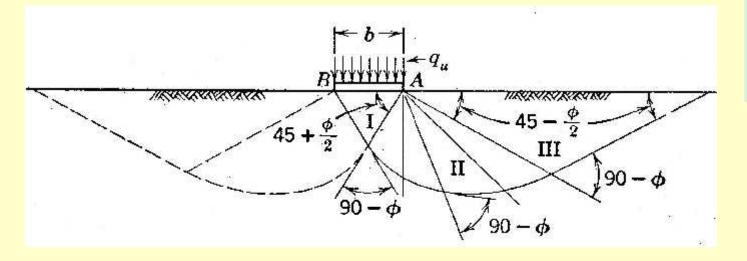
conclusion effort loses sight of the history of the data

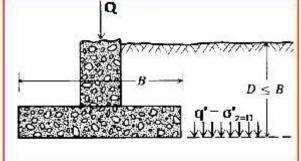


The dynamic test (CAPWAP) was performed after the static test. The redriving (ten blows) forced the pile down additionally about 45 mm.

## The Pile Toe is Really a Footing: The Bearing Capacity Formula $r_u = c'N_c + q'N_q + 0.5bX'N_x$

- where  $r_u$  = ultimate unit resistance of the footing
  - c' = effective cohesion intercept
  - B = footing width
  - q' = overburden effective stress at the foundation level
  - $\gamma'$  = average effective unit weight of the soil below the foundation
  - $N_c$ ,  $N_q$ ,  $N_\gamma$  = non-dimensional bearing capacity factors



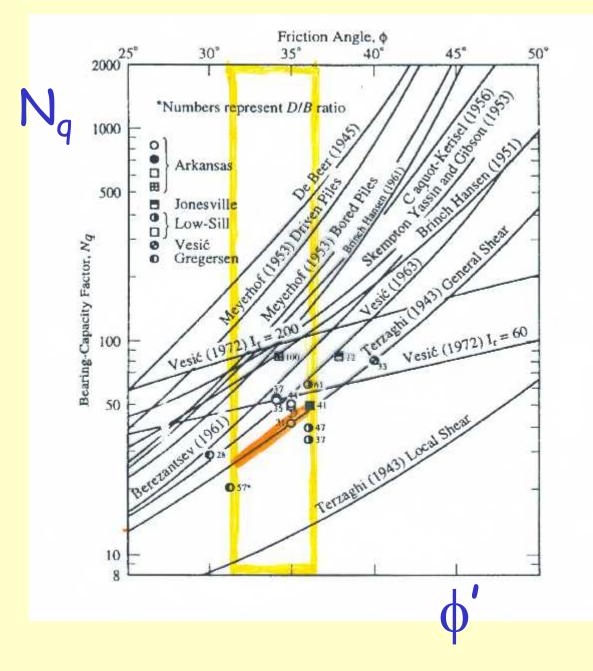


Factor of Safety,  $F_s$ 

$$F_s = r_u/q$$

 $r_t = q' N_a$ 

#### $N_{\alpha}$ was determined in tests—model-scale tests



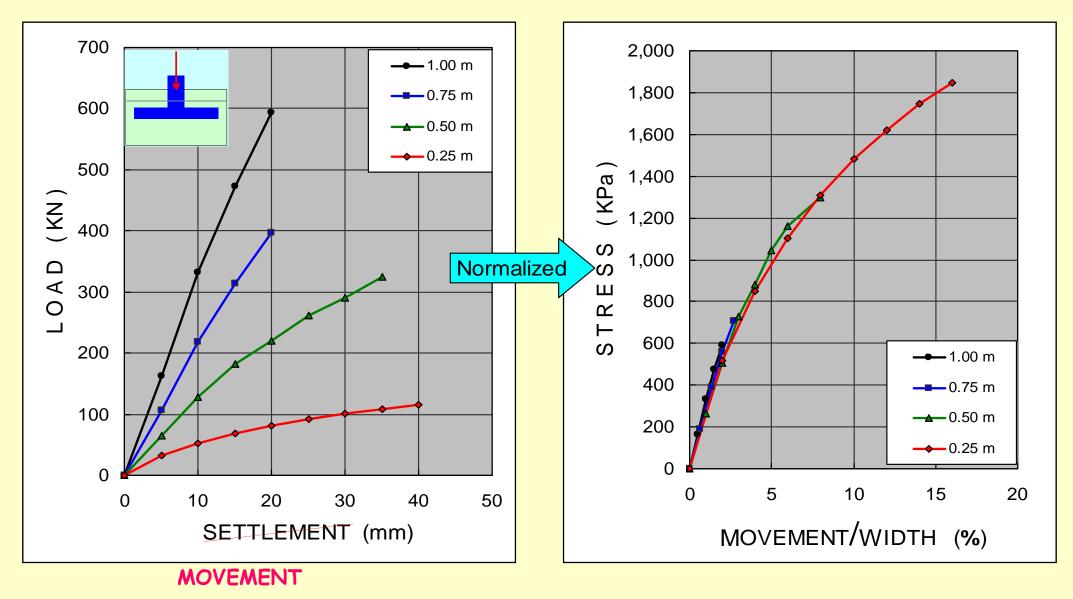
Min to max  $N_q$  ratio is up to  $\approx 200$  for the same  $\varphi'$ !

The log-scale plot is necessary to show all curves with some degree of resolution.

Why is it that nobody has realized that something must be wrong with the theory for the main factor, the  $N_q$ , to vary this much?

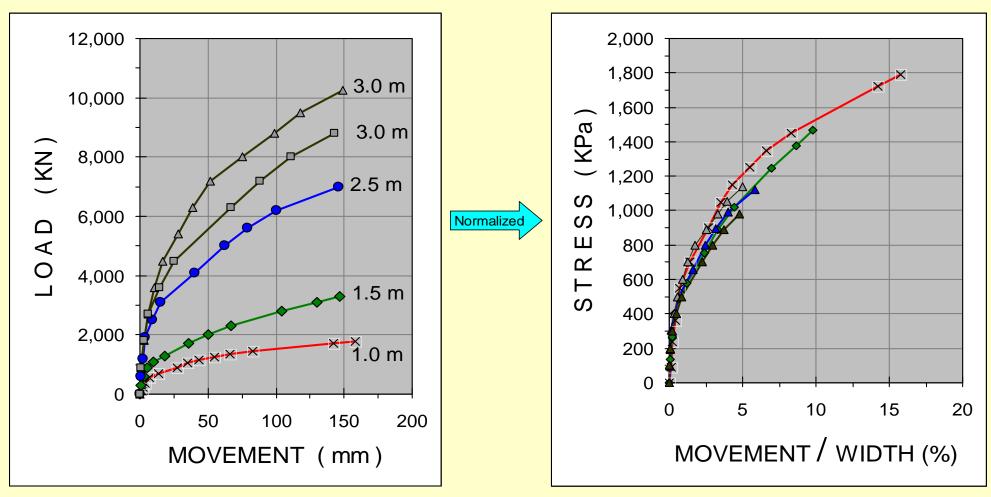
Let's compare to the reality?

### Results of static loading tests on 0.25 m to 0.75 m square footings in well graded <u>sand</u> (Data from Ismael, 1985)



### Texas A&M Settlement Prediction Seminar

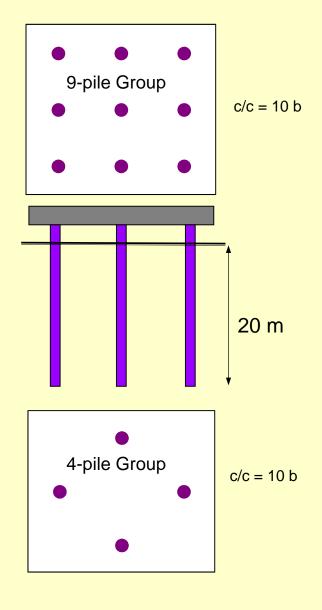
Load-Movement of Four Footings on Sand Texas A&M University Experimental Site J-L Briaud and R.M. Gibbens 1994, ASCE GSP 41 As before, we divide the load with the footing area (to get stress) and divide the movement with the footing width, as follows.

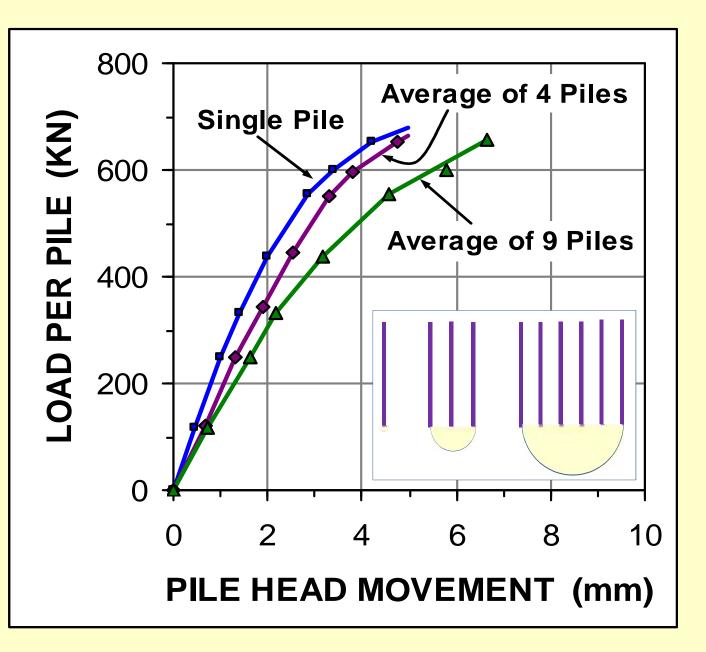


To repeat, when designing foundations based on capacity are we not basing our design on an illusion?

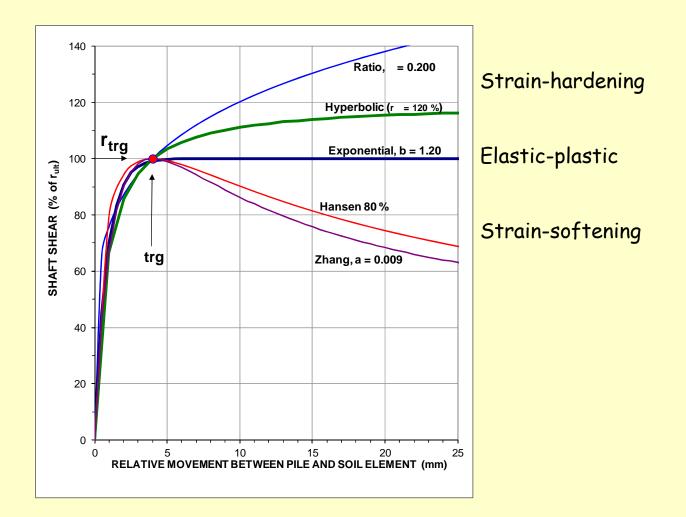


### Group Effect





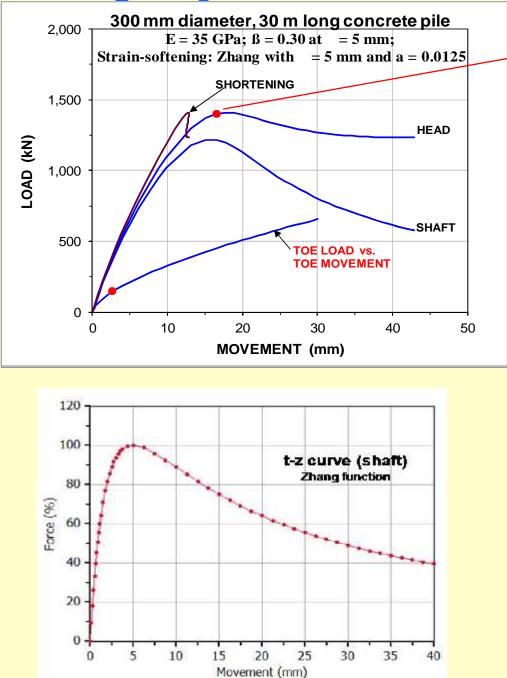
### Shaft Resistance and t-z and q-z functions

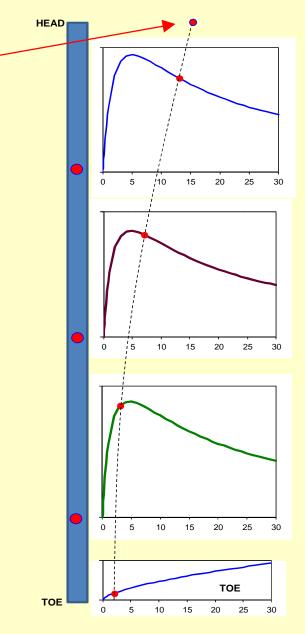


The t-z and q-z functions are fundamental to the analysis of pile response

#### **MOVEMENTS AT GAGE LOCATIONS**

"Capacity"?

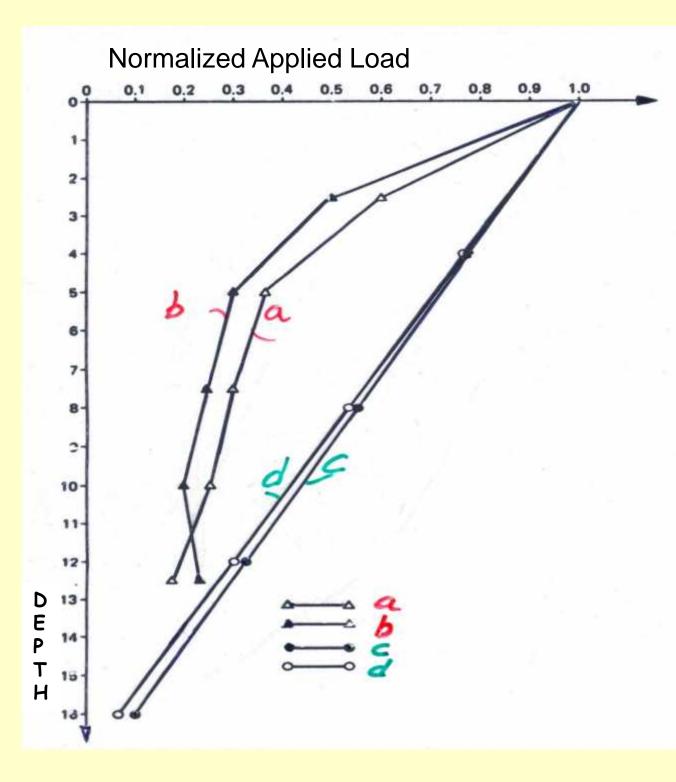




First shown important by Bram van Weele back in the 1960s

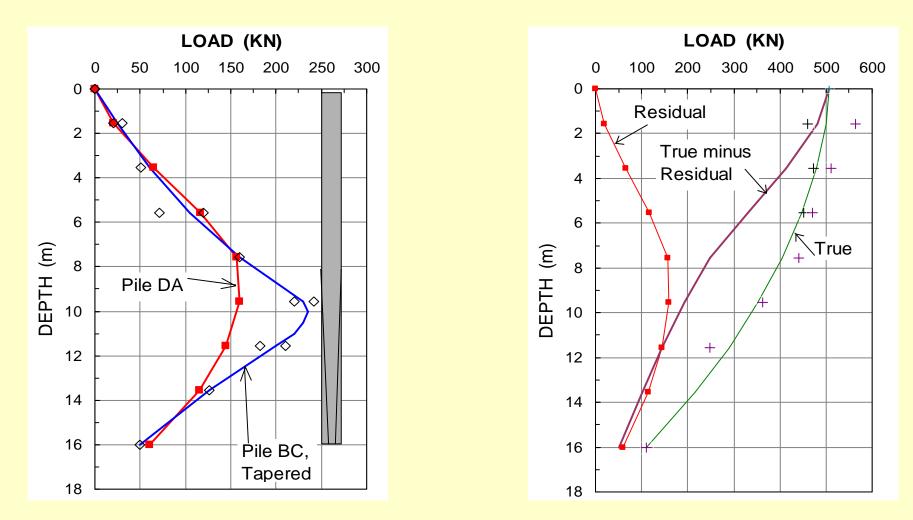
If we want to know the load distribution, we can measure it. But, what we measure is the increase of axial load in the pile due to the load applied to the pile head. What about the axial force in the pile that was there before we started the test?

### That is, the Residual Force



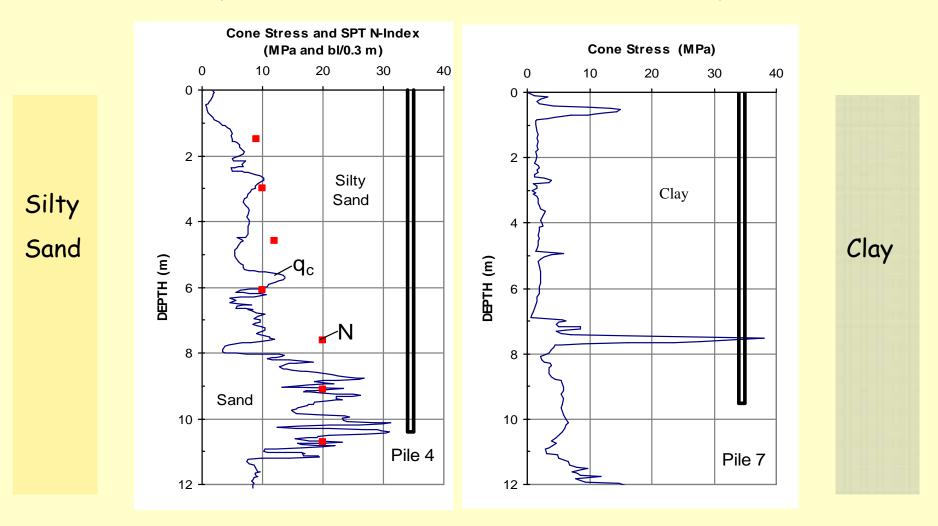
Load distributions in static loading tests on four instrumented piles in clay

### Example from Gregersen et al., 1973



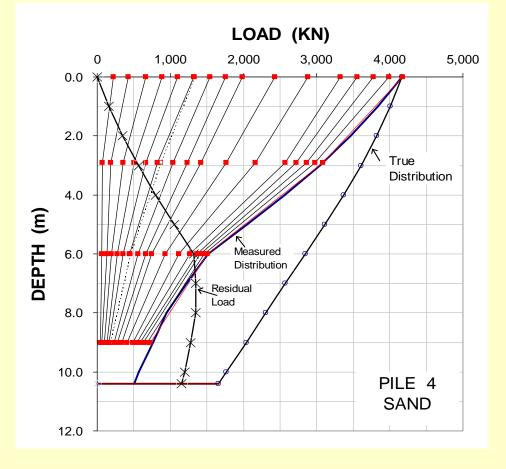
A. Distribution of residual force in DA and BC B. Load and resistance in DA before start of the loading testfor the maximum test load

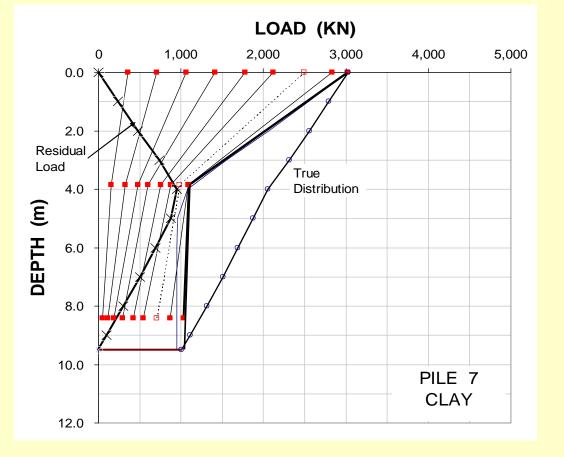
### FHWA tests on 0.9 m diameter bored piles One in sand and one in clay (Baker et al., 1990 and Briaud et al., 2000)



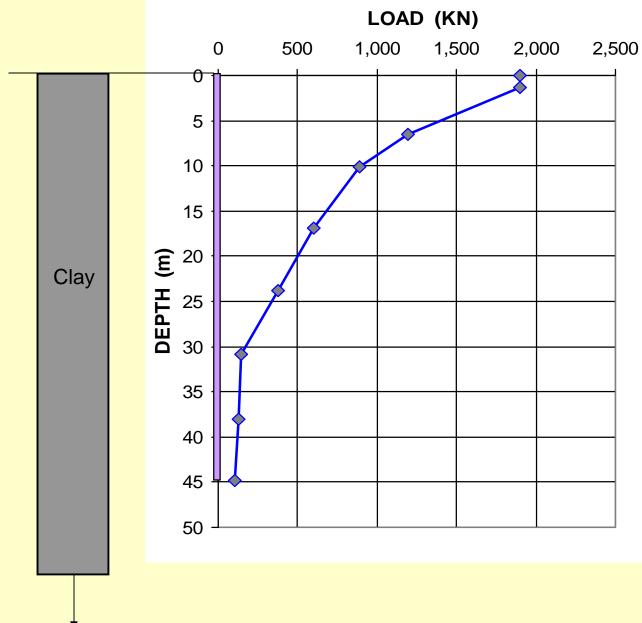
28

### ANALYSIS RESULTS: Load-transfer curves





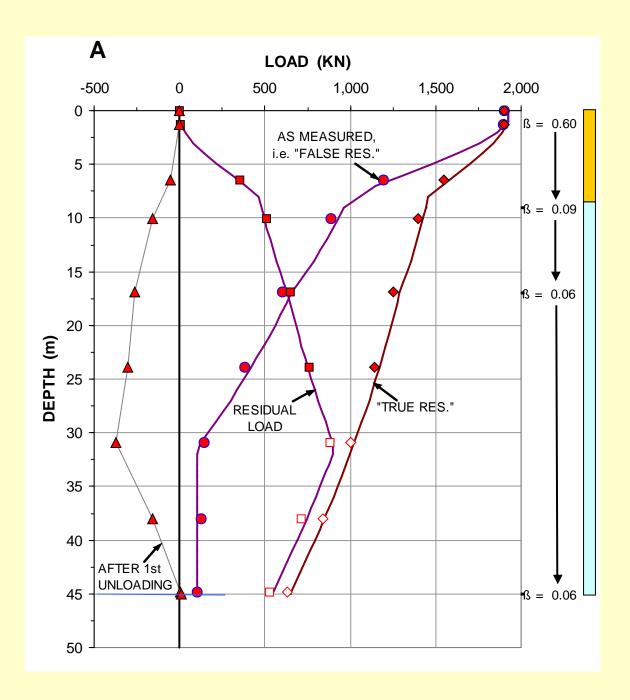
### Determining True Resistance from Measured Resistance ("False Resistance")



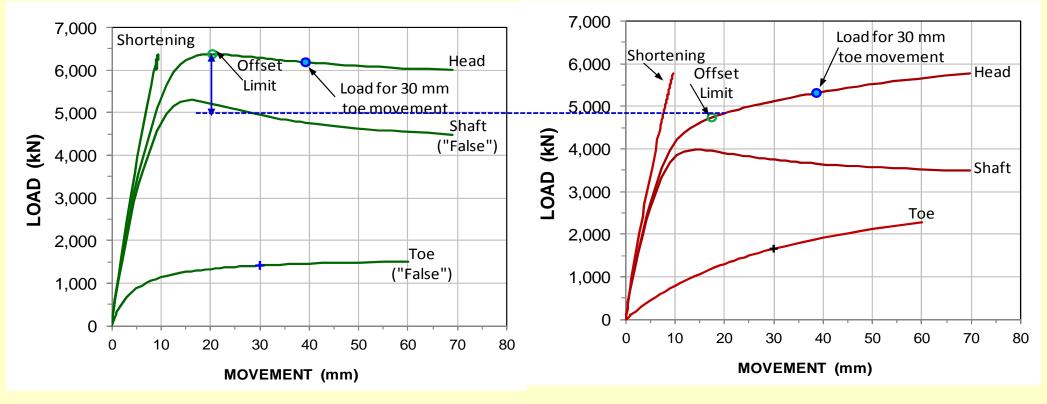
Static Loading Test at Pend Oreille, Sandpoint, Idaho, for the realignment of US95

406 m diameter, 45 m long, closed-toe pipe pile driven in soft clay

> Fellenius et al. (2004)



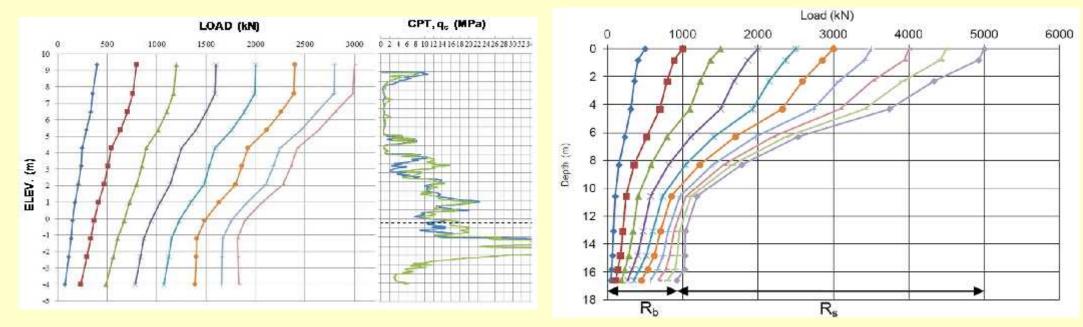
### Presence of residual force is not just of academic interest



Results from a test on a 15 m long, 600 mm diameter, jacked-in concrete pile (Fellenius 2014). The manner of testing built-in considerable residual force in the pile.

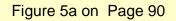
Same pile assumed tested without residual force being present. (The test results were first fitted to a UniPile t-z/q-z analysis, whereafter the analysis was converted to results for an identical pile and soil, but with no residual force present). From Recent experiences with static pile load testing on real job sites and General Report – Design methods based on static pile load tests.

*From Proceedings* of ETC3, *Symposium on Design of Piles in Europe* Leuven, Belgium, April 2016



Load-distribution from a 508 mm diameter driven cast-in-place pile installed to 15 m depth.

Figure 10 on Page 74



Load-distribution from a 620 mm diameter, 16.6 m long,

screw pile installed to 15 m depth.

The authors mention various reasons for the lack of shaft resistance along the lower length, but do not address the main reason, which is that the piles have significant residual force, causing the shaft resistance along the upper length to appear too large and that along the lower length to appear too small or non-existent.

Load-movement curves from a 560 mm diameter "displacement screw pile" (CFA pile). Pile length and soil type not mentioned. **Residual toe force can be assumed small.**  Load-movement curves from a 508 mm diameter driven cast-in-place pile. Pile length and soil type not mentioned. (Not the same pile as that used to show load distribution). **Residual toe force is usually considerable for driven piles.** 

Quote from the Proceedings "The design based on the results of pile load tests is based on the results of

the ultimate or pile capacity at 10% \*) diameter pile base (toe) displacement".

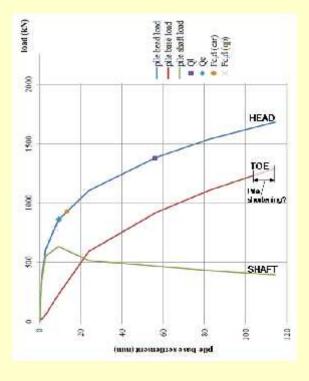


Figure 3 on Page 68

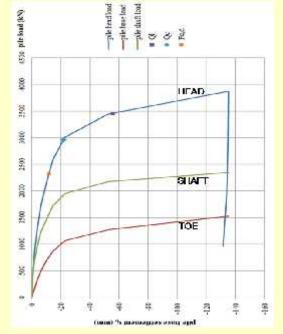
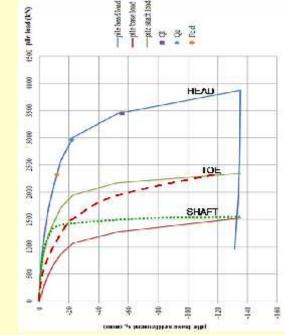


Figure 2 on Page 67



Toe and shaft movements are now shown after a moderate correction for residual force

Same as Figure 2 on Page 67 with presumed "true" shaft and toe curves

\*) Triple mentioning of "base" will not negate the fact that the "10-%" definition of capacity is applied to a displacement that can be up to 100 % wrong!

Proceedings of ETC3 Symposium on Design of Piles in Europe Leuven, Belgium, April 2016

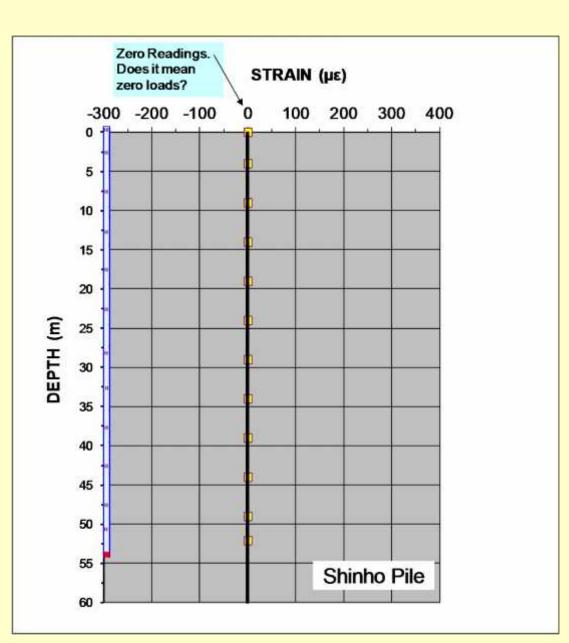


Head-down loading test on a 600 mm diameter, 54 m long cylinder pile in Busan, Korea, 2006. (Kim, Chung, and Fellenius, 2011)

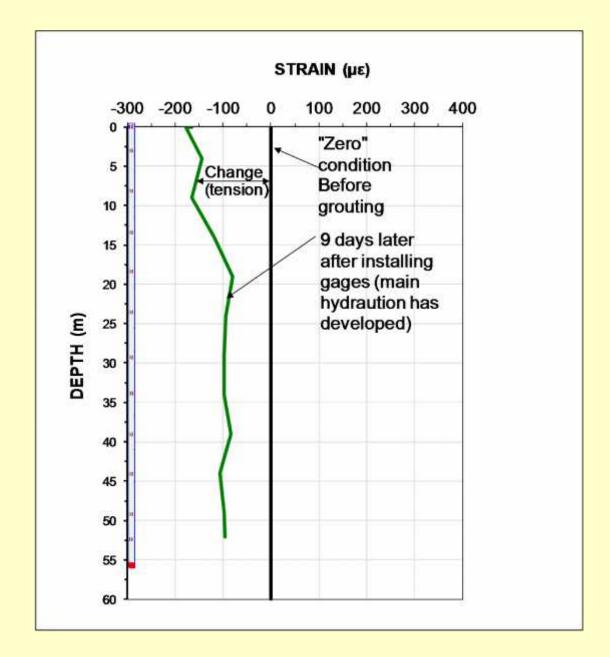
Answer to the question in the graph:

No, there's always residual force (axial) in a test pile.

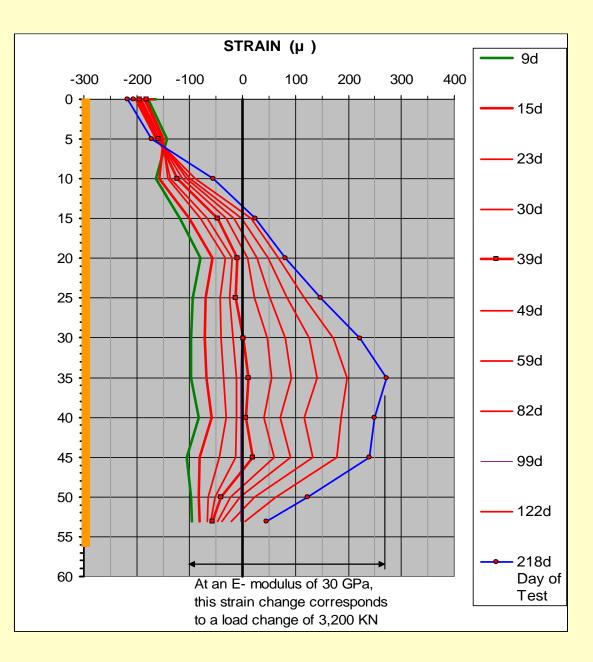
### **Residual Force**



Gages were read after they had been installed in the pile ( = "zero" condition) and then 9 days later (= green line) after the pile had been concreted and most of the hydration effect had developed.



Strains measured during the following additional 209-day wait-period.



## The bi-directional test

The difficulty associated with wanting to know the pile-toe load-movement response, but only knowing the pile-head load-movement response, is overcome in the bidirectional test, which incorporates one or more sacrificial hydraulic jacks placed at or near the toe (base) of the pile to be tested (be it a driven pile, augercast pile, drilled-shaft pile, precast pile, pipe pile, H-pile, or a barrette). Early bidirectional testing was performed by Gibson and Devenny (1973), Horvath et al. (1983), and Amir (1983). About the same time, an independent development took place in Brazil (Elisio 1983; 1986), which led to an industrial production offered commercially by Arcos Egenharia Ltda., Brazil, to the piling industry. In the 1980s, Dr. Jorj Osterberg also saw the need for and use of a test employing a hydraulic jack arrangement placed at or near the pile toe (Osterberg 1989) and established a US corporation called Loadtest Inc. to pursue the bi-directional technique. On Dr. Osterberg's in 1988 learning about the existence and availability of the Brazilian device, initially, the US and Brazilian companies collaborated. Somewhat unmerited, outside Brazil, the bidirectional test is now called the "Osterberg Cell test" or the "O-cell test" (Osterberg 1998). During the about 30 years of commercial application, Loadtest Inc. has developed a practice of strain-gage instrumentation in conjunction with the bidirectional test, which has vastly contributed to the knowledge and state-ofthe-art of pile response to load.

## The bi-directional test

Arcos Egenharia Bidirectional test at Rio Negro Ponte Manaus—Iranduba, Brazil

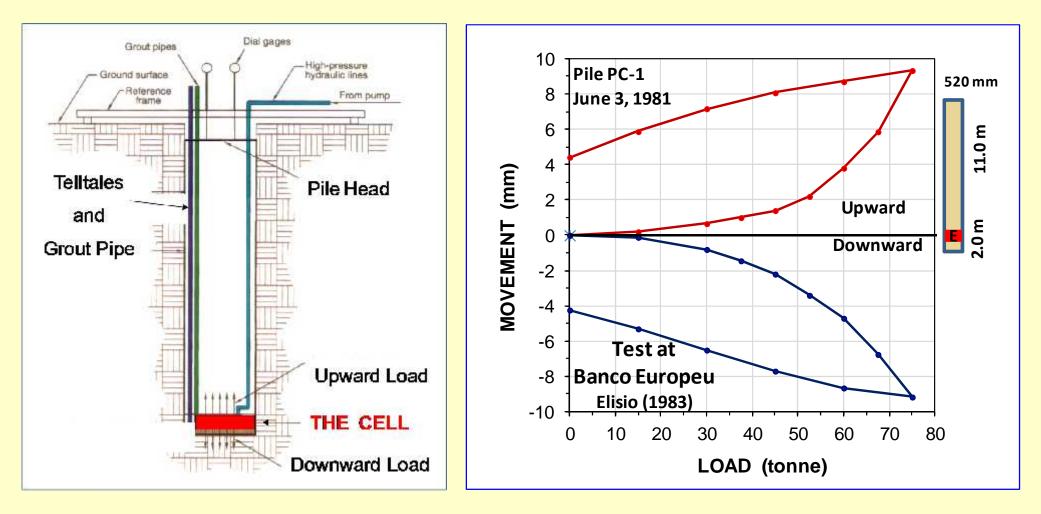




#### Schematics of the bidirectional test (Meyer and Schade 1995)

#### Typical Test Results

(Data from Eliso 1983)





Inchon, Korea (Fugro Loadtest)



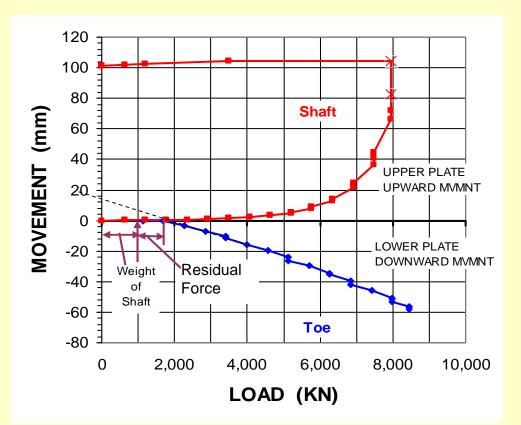
Sao Paolo, Brazil (Arcos Egenharia)

The bidirectional cell can also be installed in a driven pile. Here in a 600 mm cylinder pile (spun pile) with a 400 mm central void (installed after the driving).





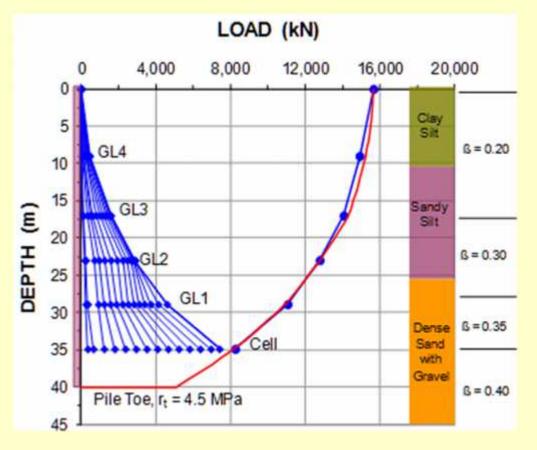
Test on a 1,250 mm diameter, 40 m long, bored pile at US82 Bridge across Mississippi River installed into dense sand



#### Bidirectional load-movement curves

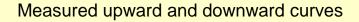
(The bidirectional jack is placed at the pile toe)

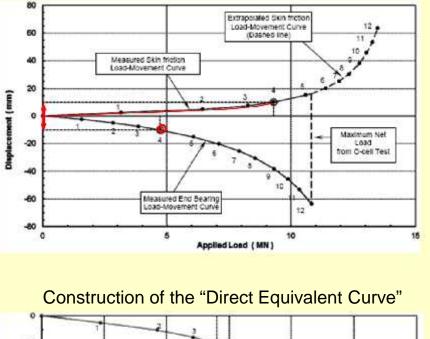
Test data from Fugro Loadtest 2002



Bidirectional **load-distribution** as-measured and as-"flipped" over to show the equivalent head-down distribution. (The red curve is the curve fitted in a UniPile calculation applying effective stress analysis with the <u>target</u> betacoefficients indicated to the right).

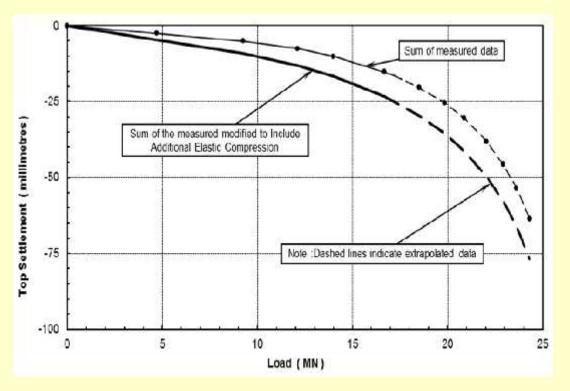
### **The Equivalent Head-down Load-movement Curve**



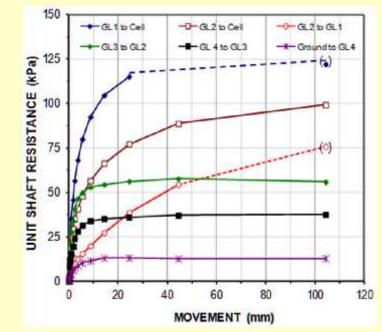


-10 -20 (uuu) -30 Okin Friction and End Bearing Components Both Measured Ħ -40 10 -50 11 End Bearing Component Measured and Skin Motion Component Extrapolated -60 (Dashed Line) 12 -70 -80 10 5 15 20 25 0 Load (MN)

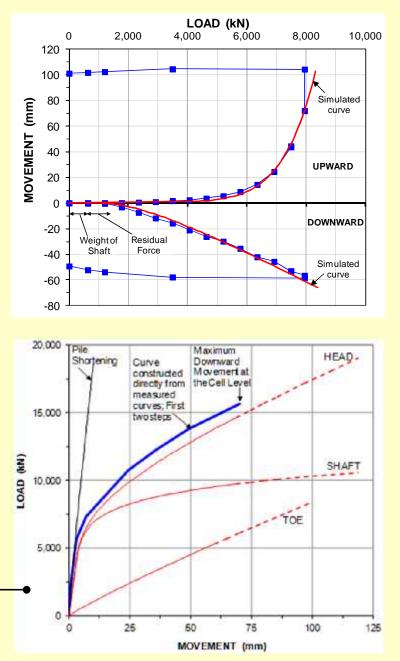
From the upward and downward results, one can produce the equivalent head-down load-movement curve, the curve that one would have obtained in a routine "Head-Down Test". The curve needs to be corrected for the increased pile compression in the head-down test.



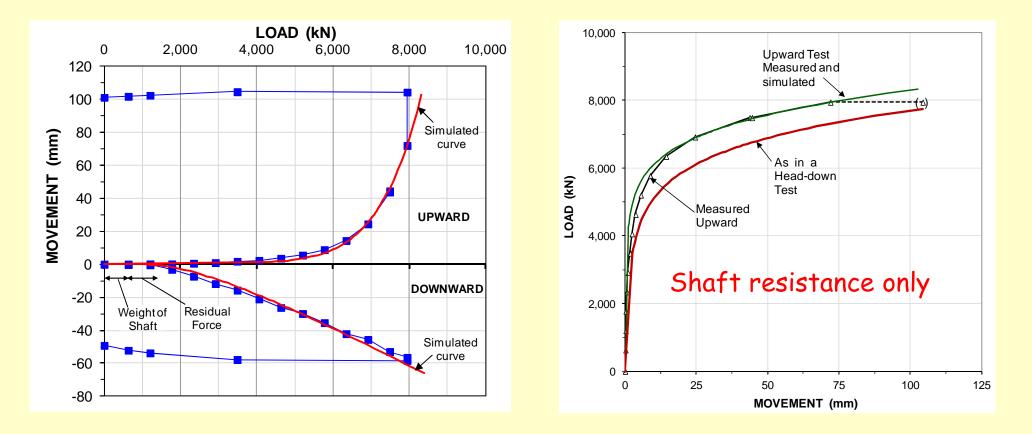
It also needs to be corrected for the fact that the bidirectional test engages the stiffer soil layers first, while the head-down test engages them last (addressed in the next two slides). Unit shaft resistance vs. movement as evaluated from strain-gage records and telltale-measured movements.

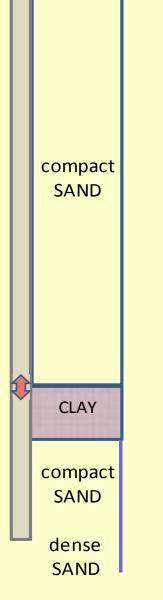


Once the simulation of the test is completed, the analysis can produce the equivalent headdown load-movement curves, applying the t-z and q-z functions resulting from the fit to the measured bidirectional curves. The bidirectional load-movement curves (blue lines and square dots) simulated and fitted using UniPile (red lines).



The difference between the measured upward cell movement and the simulated Equivalent Headdown load-movement for the pile length above the bidirectional cell is due to the fact that the upward cell engages the lower soil first, whereas the head-down test engages the upper soils first, which are less stiff than the lower soils. The left graph shows the measured and simulated <u>upward and downward</u> curves (same as on previous slide) The right graph shows the <u>upward</u> curve as measured and as simulated (in a 1<sup>st</sup> quarter plot) and compared to an Equivalent Head-down test (shaft resistance only) on the pile using the t-z curves fitted to the measured test.

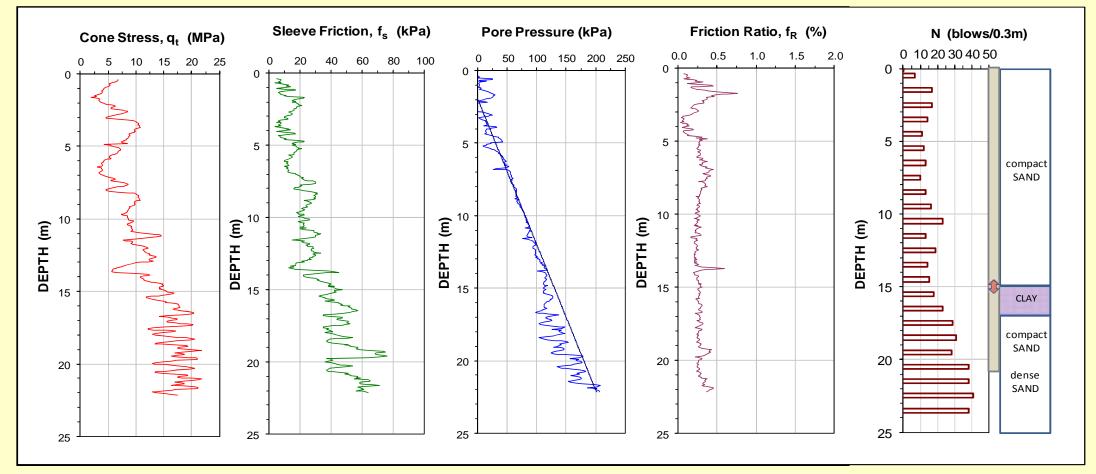




Analysis of the results of a bidirectional test on a 21m long bored pile in Sao Paolo, Brazil

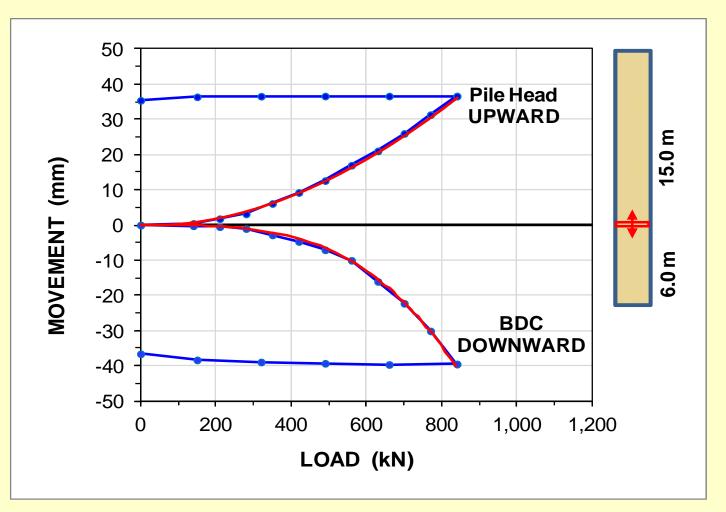
A bidirectional test was performed on a 500-mm diameter, 21 m long, bored pile constructed through compact to dense sand by driving a steel-pipe to full depth, cleaning out the pipe, while keeping the pipe filled with betonite slurry, withdrawing the pipe, and, finally, tremie-replacing the slurry with concrete. The bidirectional cell (BDC) was attached to the reinforcing cage inserted into the fresh concrete. The BDC was placed at 15 m depth below the ground surface.

The sand becomes very dense at about 25 m depth

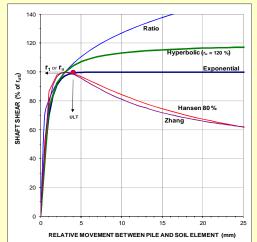


#### The soil profile determined by CPTU and SPT

#### The final fit of simulated curves to the measured

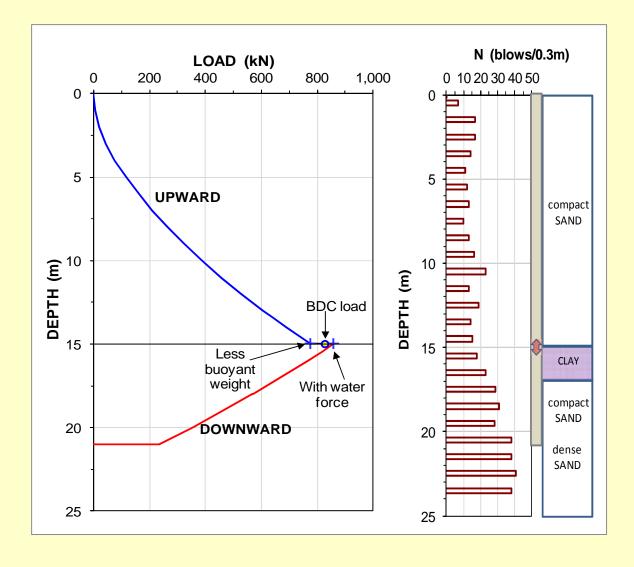


Simulation performed by effective stress back-calculation with input of t-z and q-z curves to the UniPile software (see Slide 23)



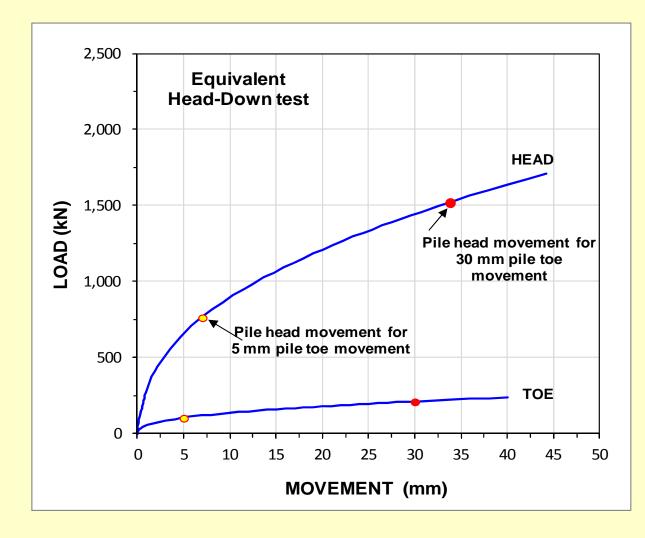
50

The test pile was not instrumented. Had it been, the load distribution of the bidirectional test as determined from the gage records, would have served to further detail the evaluation results. Note the below adjustment of the BDC load for the buoyant weight (upward) of the pile and the added water force (downward).



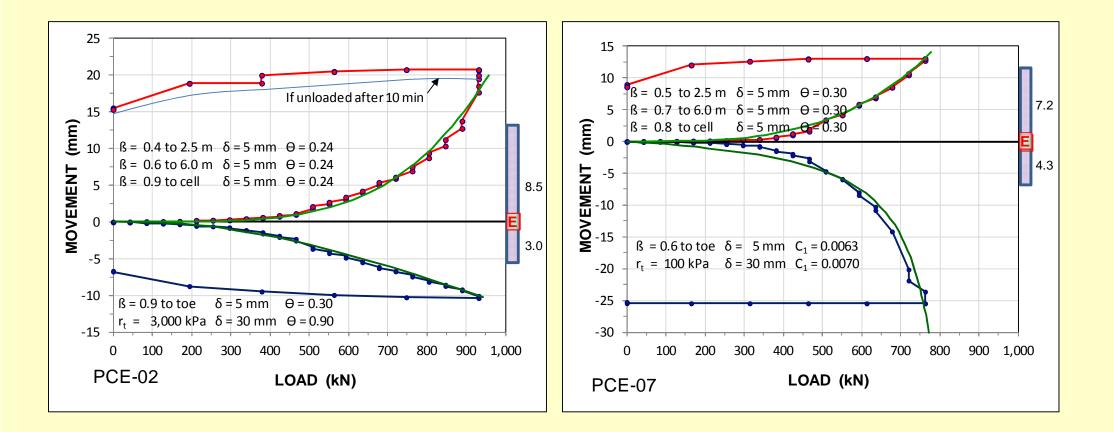
The analysis and results of the simulation appear to suggest that the pile is affected by a filter cake along the shaft. It has probably also a reduced toe resistance due to debris having collected at the pile toe between final cleaning and the placing of the concrete.

# The final fit establishes the soil response and allows the equivalent head-down loading- test to be calculated



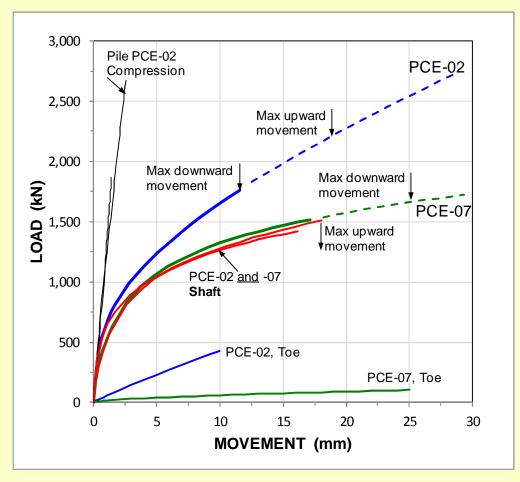
When there is no obvious point on the pile-head load-movement curve, the "capacity" of the pile has to be determined by one definition or other—there are dozens of such around. I prefer to define it as the pile-head load that resulted in a 30-mm pile toe movement. As to what safe working load to assign to a test, it often fits quite well to the pile head load that resulted in a 5-mm toe movement. In this case, that happens to be at Q = 750 kN.

The most important aspect for a safe design is not the "capacity" found from the test data, but what the settlement of the structure supported by the pile(s) might be. How to calculate the settlement of a piled foundation is not addressed here, however. **A CASE HISTORY** Bidirectional tests performed at a site in Brazil on two Omega Piles (Drilled Displacement Piles, DDP, also called Full Displacement Piles, FDP) both with 700 mm diameter and embedment 11.5 m. Pile PCE-02 was provided with a bidirectional cell level at 7.3 m depth and Pile PCE-07 at 8.5 m depth.



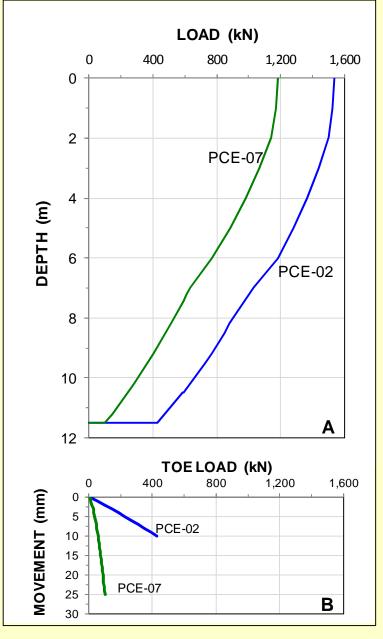
#### After data reduction and processing

Equivalent Head-down Load-movements



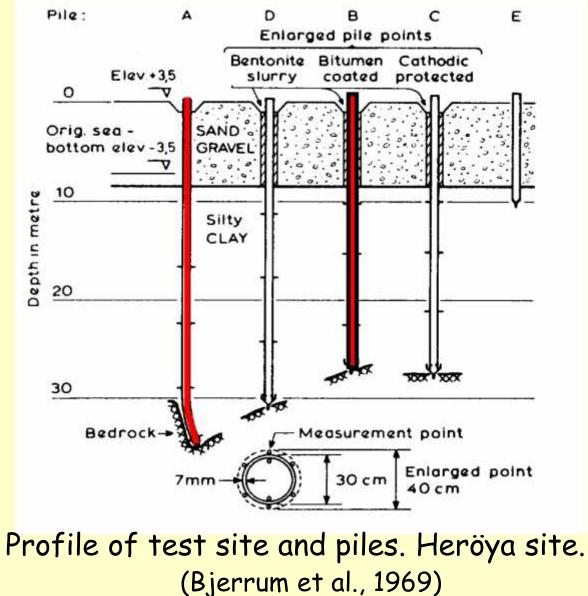
A conventional head-down test would not have provided the reason for the lower "capacity" of Pile PCE-02

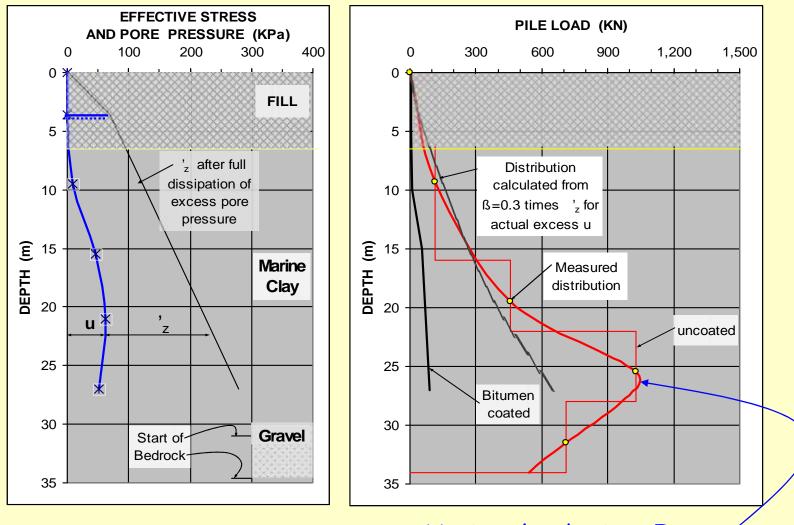
#### Equivalent Head-down Load-distributions



## The ever so scary N.S.F ghost —the drag force







Notice the distinct Force / Equilibrium, the Neutral Plane

Distribution of soil stress, excess pore pressure, pile shortening, and load distributions. Heröya site. (Data from Bjerrum et al., 1969).

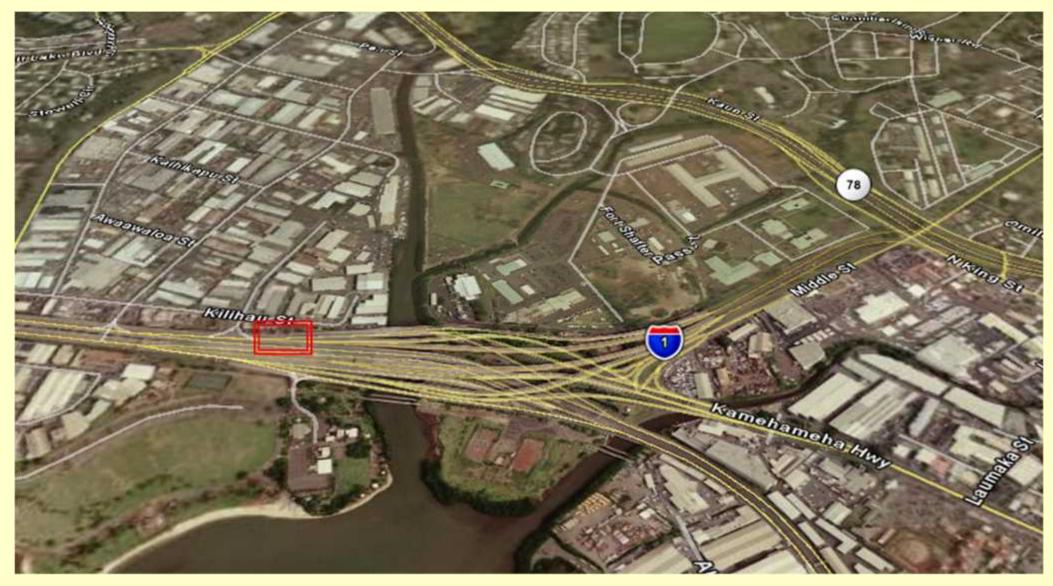
## **Compilation of Norwegian results**

Table 1 Results of previous tests on unprotected steel piles.

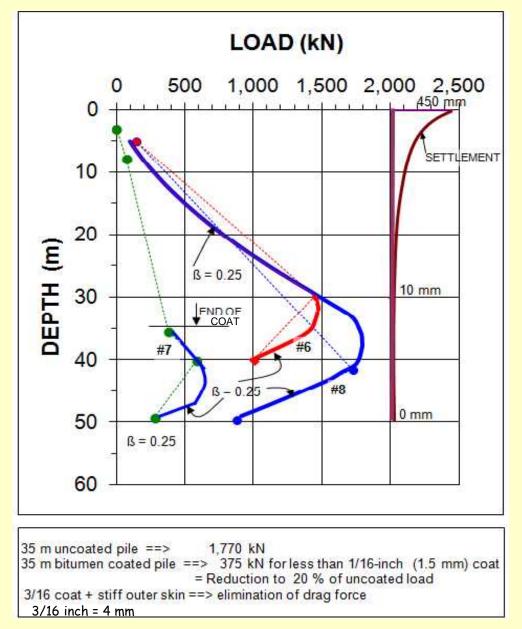
| Site    | Pile data |        | Time<br>after    | Drag     | Settlement<br>during ob- |                             |  |
|---------|-----------|--------|------------------|----------|--------------------------|-----------------------------|--|
| Pile    | type      | length | driving          | Force    | servati                  | A DESCRIPTION OF THE OWNER. | K tan $\varphi'$   |
| No.     | -78-      | m      | years            | tons     | ground                   | pile                        | S  |
| Sörenga |           |        |                  |          | 1.1.1.1.1.1.1.1.1        | 100                         |  |
| В       | I         | 53     | 5                | ≈400     | ≈200                     | 10.0                        | 0.20   |
| C       | II        | 57     | 2                | 300      | ≈ 27                     | 5.3                         |  |
| G       | п         | 41     | 2                | 250      | ≈ 7                      | 3.2                         |  |
| Heröya  | 199       | 200    | A REAL PROPERTY. | a starte | Lander Creek             |                             | THE STOPP  |
| 85      | III       | 32     | $1\frac{1}{2}$   | 300      | ≈ 30                     |                             | 0.25   |
| A       | IV        | ≈30    | -                | 120      | ≈ 20                     | 3.3                         | and the second |
| Alnabru |           |        |                  |          |                          |                             |  |
| F6      | III       | 32     | 1                | ≈300     | 0                        |                             | 100 March  |

Pile type: I: KP24, 47 cm, II: Tubular steel pile,  $\phi$  50 cm, III: Tubular steel pile with concrete,  $\phi$  50 cm; IV: Tubular steel pile,  $\phi$  30 cm.

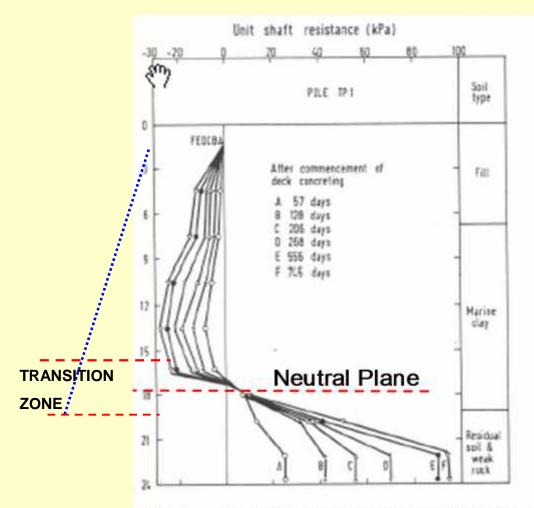
## FHWA Project, Keehi Interchange, Honolulu,Hawaii 1977



Results from 3 years of monitoring of a 30-m pile, 50-m pile, and a 30-m bitumen coated pile



Leung, C.F, Radhakrishnan, R., and Tan Siew Ann (1991) presented a case history on instrumented 280 mm square precast concrete piles driven in **marine clay** in Singapore

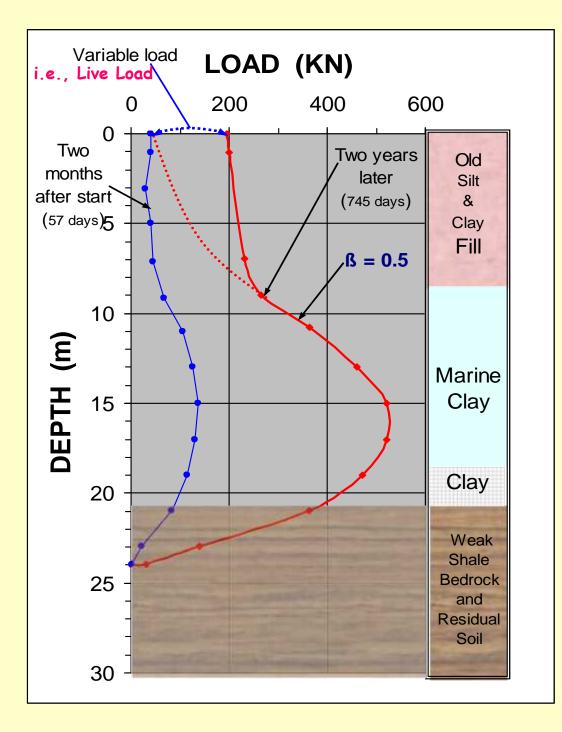


Distribution of Unit Sheft Resistance along Pile TP1 under Service Loads

Note, the distribution of negative skin friction is linear (down to the beginning of the transition zone) indicating the proportionality to the effective overburden stress

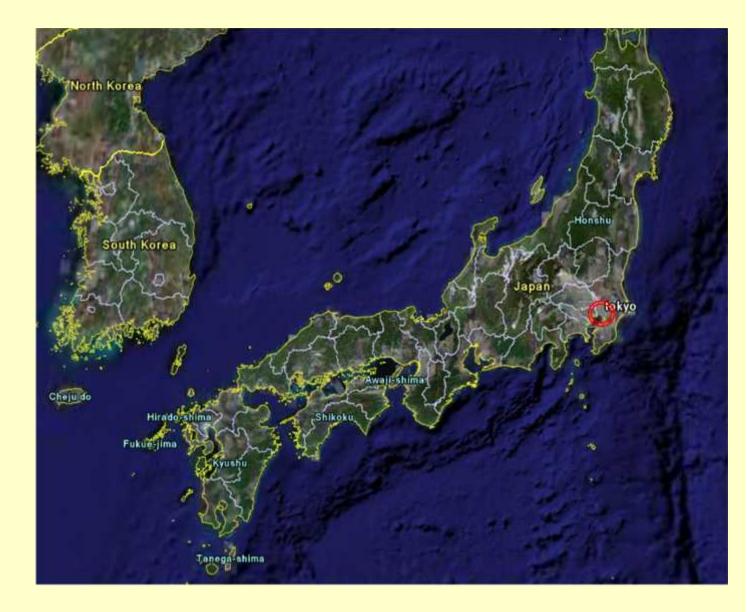


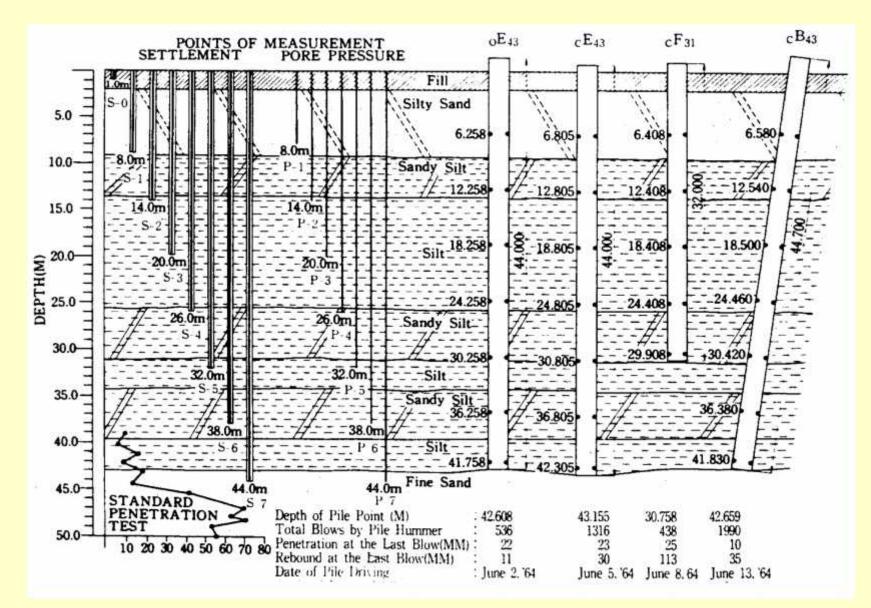
Live load and drag force cannot coexist!



Data from Leung, Radhakrishnan, and Tan (1991)

Endo et al. 1969, presented a very ambitious drag-force study in Japan on four instrumented steel piles during a period of three years. The soils consist of silt and clay on sand. The case history is one of the few that actually also measured settlement.

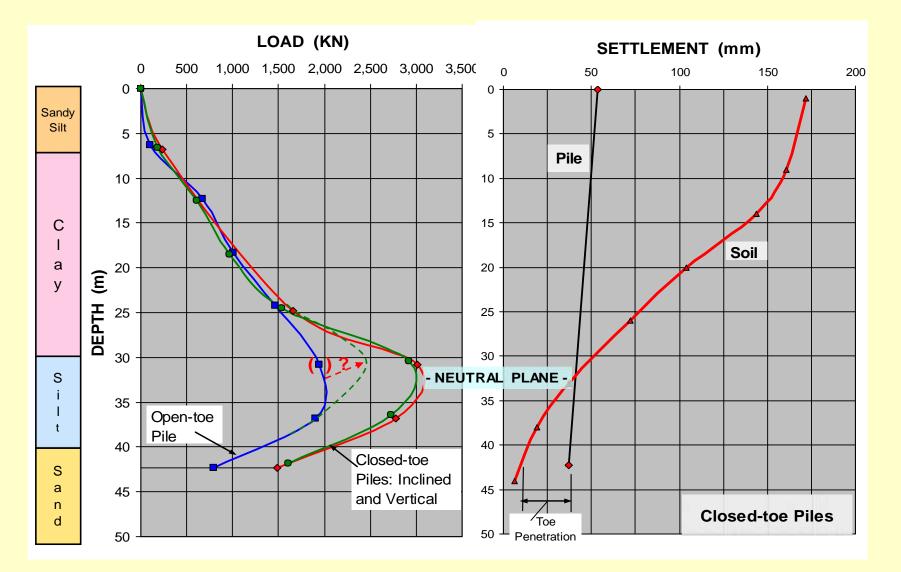




Profile of test site and piles

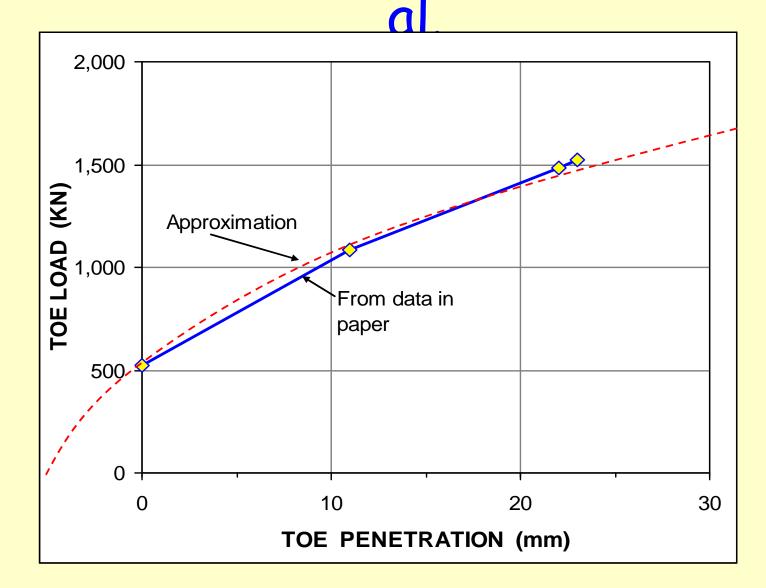
Closed-toe, Open-toe, Inclined, and Short Pile (Endo et al., 1969)

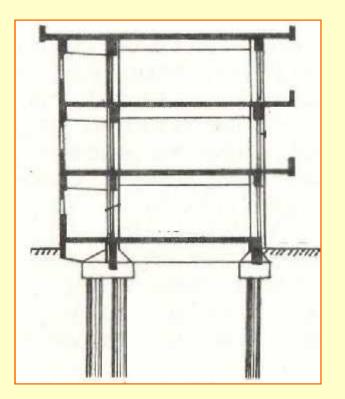
#### Neutral plane = Force Equilibrium = Settlement Equilibrium



Load distribution in <u>the three long piles together</u> and settlement of soil and piles measured March 1967 <u>672 days</u> after start. (Data from Endo et al., 1969).

# Toe forces and toe penetrations extracted from the graphs of Endo et

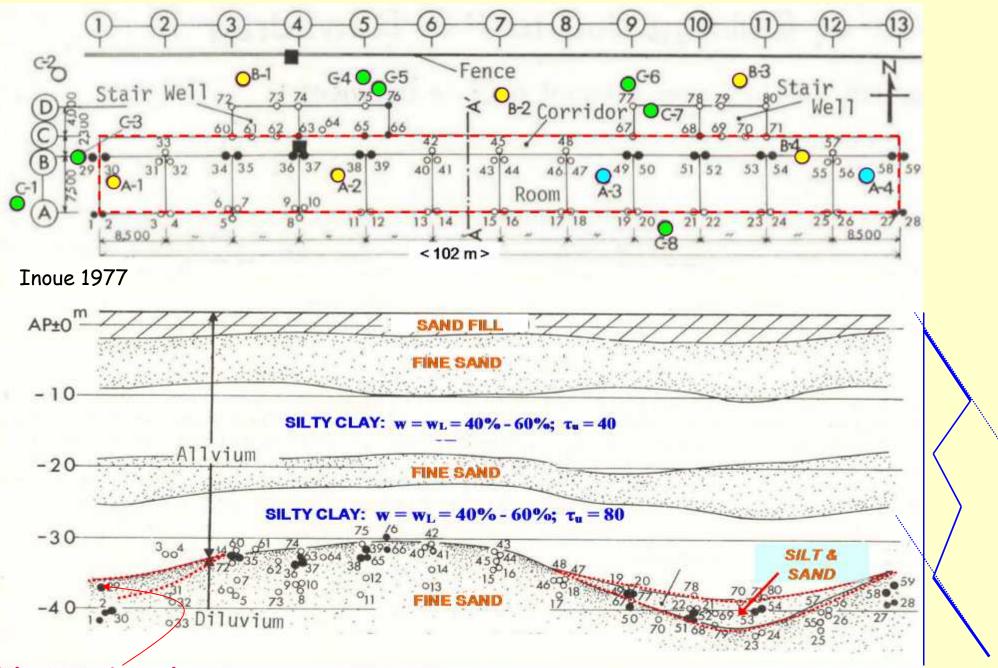




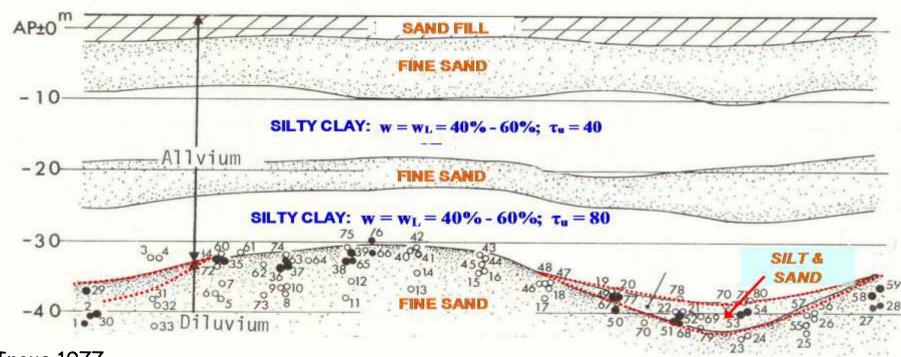
Inoue, Y., Tamaoki, K., and Ogai, T., 1977. Settlement of building due to pile downdrag. Proc. 9<sup>th</sup> ICSMFE, Tokyo, July 10-15, Vol. 1, pp. 561- 564.

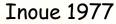
## A Downdrag Case

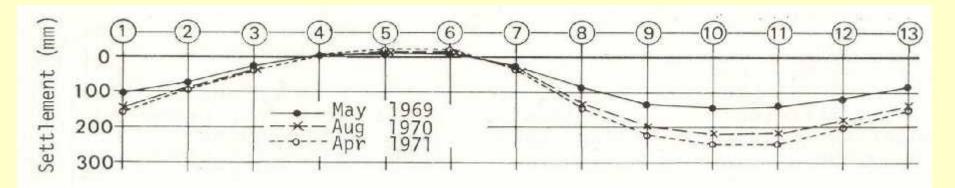
A three-storey building with a foot print of 15 m by 100 m founded on 500 mm diameter open-toe pipe piles driven through sand and silty clay to bearing in a sand layer at about 35 m depth. The piles had more than adequate capacity to carry the building. Two years after construction, the building was noticed to have settled some 150 mm. Measurements during the following two years showed about 200 mm additional settlement. The building was demolished at that time.



Pile Toe Depth







Settlement between piles in Row 6 and Row 10 from Sep. 1967 through May 1969 = 150 mm.

Slope ≅ 1 : 100 (Sep 67 Apr 71)

68

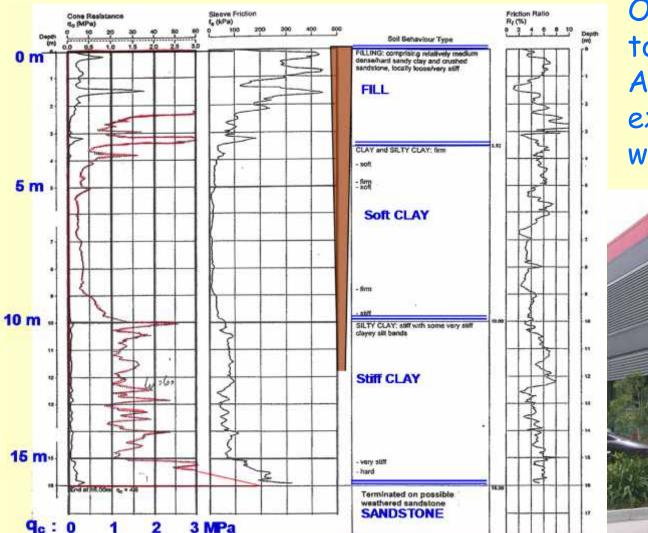


Looks bad, but, note, the building foundation is OK. No downdrag resulted because the neutral plane is located in the competent not settling soil. Of course, the pile s have drag forces, but that environmental effect is there be the settlement small or large. Presence of drag force is of no consequence for foundations—it is always present, regardless.

#### Singapore Apartments



Gue See Sew, 2011 Gue & Partners Sdn Bhd



Office Building placed on long toe-bearing piles in Brisbane, Australia. A later built, light extension was placed on short wood piles.



## View toward the roof



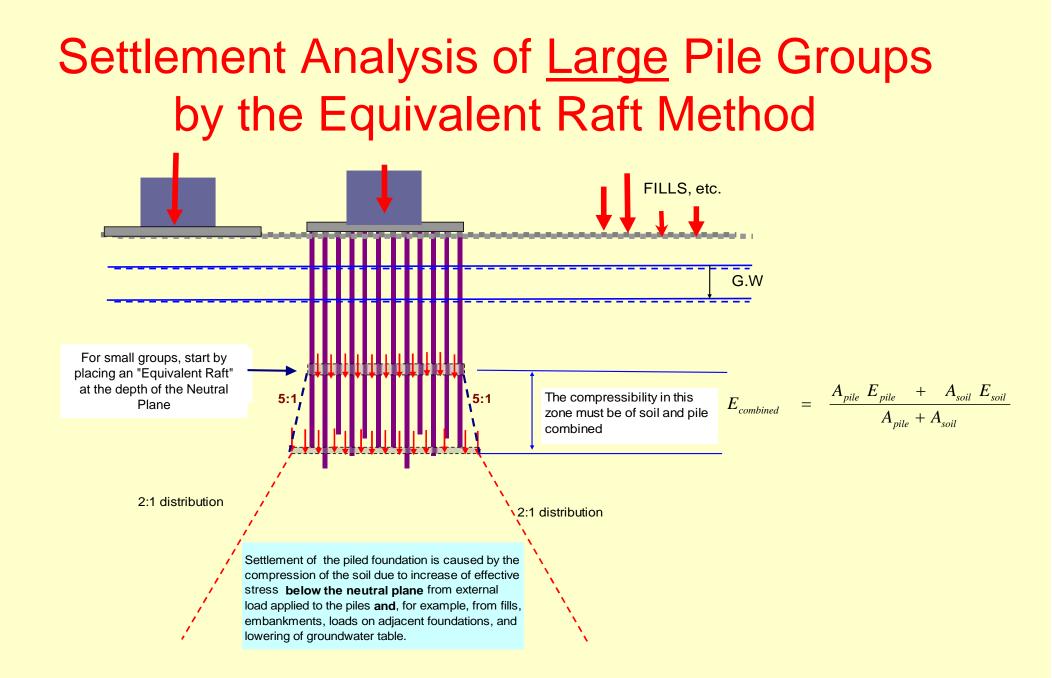


# Foundations and underpinning

The original piles had a capacity about three times the applied load, but downdrag got the better of them. Luckily, new piles could be installed (driven to the sandstone; for some columns to a"four-for-one" ratio).

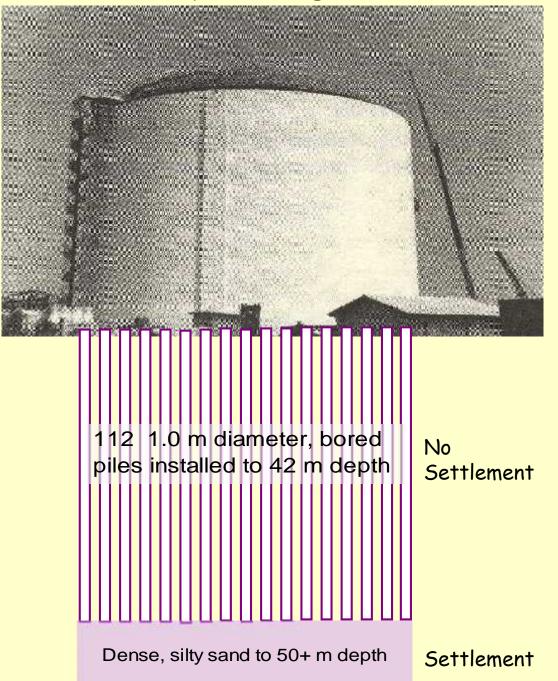


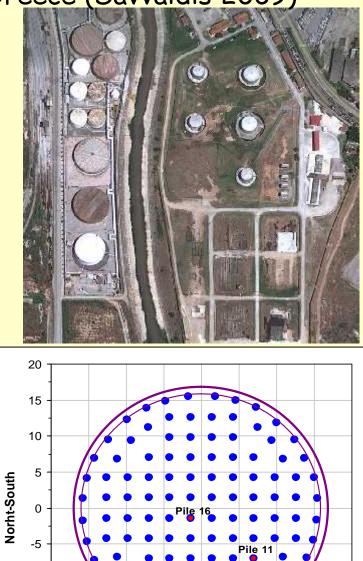
**\$ \$ \$ \$** 



N.B., the above approach goes far beyond the 1948 Terzaghi and Peck suggestion of placing The Equivalent Raft at the lower third depth

#### Liquid storage tank in Tessaloniki, Greece (Savvaidis 2009)





-10

-15

-20

-20

-15

-10

-5

5 0 East-West

5

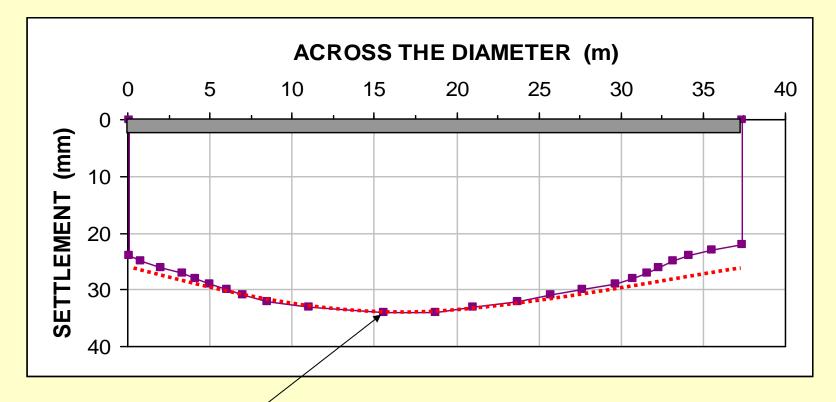
10

15

20



Settlement measured across a tank diameter during a Hydro Test



Curve calculated for a flexible footing located at the pile toe level with parameters fitted to the settlement measured at the tank mid-point (calculations were performed with UniSettle).

### <u>A quote from a textbook \*)</u>

The net effect negative skin friction is that the pile load capacity [sic!] is reduced and pile settlement increases. The allowable load capacity [sic!] is given as:

$$Q_{allow} = \frac{Q_{ult} - Q_{neg}}{F_S} - Q_{neg}$$

It could have been worse. Logically, the drag force  $(Q_{neg})$  should have been increased by a factor of safety.

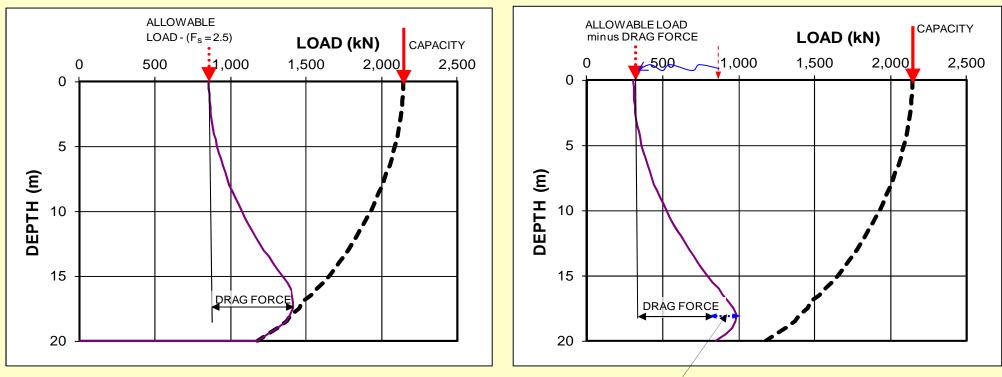
But so what! There is so much lack of logic in the approach, anyway.

#### \*) Compassion—perhaps misdirected—compels me not to identify the author

#### Do not include the drag force when determining the allowable load!

Drag force must neither subtracted from the pile capacity nor from the allowable load

Effect of subtracting the drag force



#### HAS INCREASED!

If the pile capacity had been reduced with the amount of the drag force before subtracting the drag force from the "net capacity", so determined, there would have been no room left for working load!

## The Euro Code

The European Community has recently completed EuroCode 7, which is supposed to be adopted by all member states. The EuroCode treats the drag force as a load (an "action") similar to the load from the structure, and requires it to be added to that load and also that it is subtracted from the pile capacity)! Moreover, the shaft resistance in the soil layer that contributes to the drag force is disregarded when determining the pile resistance. That is, when a capacity has been determined to, say, 1,000 kN and the drag force is expected to be, say, 200 kN (an unrealistically low value), the "usable capacity", i.e., the usable unfactored resistance, is a mere 800 kN. This value is then factored. If resistance factor is 0.5, the factored resistance is 400 kN. When, as required, the factored drag force is subtracted (applying a drag-force load-factor of, say, 1.5, the amount left to support the factored load from the structure is 100 kN!

What "salvages" the economy of some designs must be that the EuroCode clauses advocate that the designer maintains the faithful approach that "*the drag force cannot really be that large, can it, please?*' in determining the magnitude of the drag force. Incredibly, the EuroCode says little on how to calculate settlement of piled foundations and nothing is stated about downdrag!

Unfortunately and regrettably, the recently issued US AASHTO LRFD Specs have adopted the EuroCode approach! A few US State DOTs, e.g., Utah, have wisely rejected the AASHTO Specs and apply the Unified Method.

#### Eurocode Guide, Example 7.4 (Bored 0.3 m diameter pile)

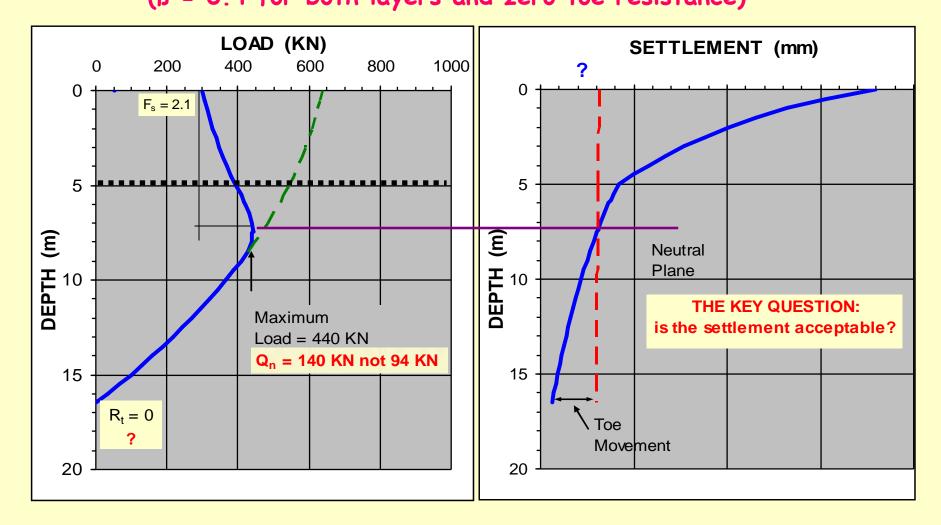
|                                   |   | Q (unfactored) = 300 KN   |   |
|-----------------------------------|---|---|---|
| SOFT CLAY<br>5.0 m                |   | Average unit shaft resista<br>R <sub>s</sub> = 94.2 KN; R <sub>s</sub> = Q <sub>n</sub> | ance, r <sub>s</sub> = 20 KPa   |
| <b>STIFF SILTY CLAY</b><br>11.5 m |   | Average r <sub>s</sub> = 50 KPa<br>R <sub>s</sub> = 543 KN                              | <u>CALCULATION</u><br>f <sub>q</sub> *300 + f <sub>n</sub> *94 543/f <sub>r</sub><br>1.35*300 + 1.35*94 543/1.0 |
| ţ                                 | 1 | R <sub>t</sub> = 0 KN <b>?!</b>   | 532 543<br>(Alternative: If f <sub>r</sub> = 1.1, the length<br>in the silty clay becomes 12.4 m)               |

"The settlement due to the fill is sufficient to develop maximum negative skin friction in the soft clay".

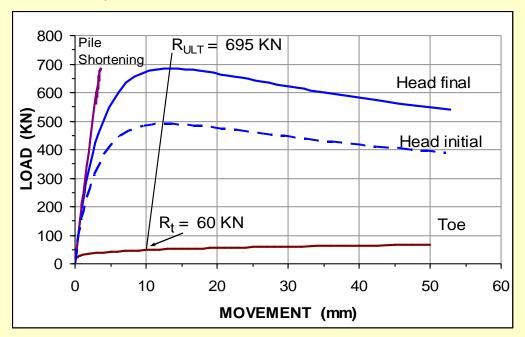
The Guide states that the two  $r_s$ -values are from effective stress calculation. The values correlate to soil unit weights of 18 KN/m<sup>3</sup> and 19.6 KN/m<sup>3</sup>,  $\beta$ -coefficients of 0.4 <u>in both layers</u> with groundwater table at ground surface, and a stress of 30 KPa from the fill. Note that the example presupposes that the analysis is carried out for the long-term conditions.

The Guide states that the neutral plane lies at the interface of the two clay layers. Based on the information given in the example, this <u>cannot be correct</u>. But there is a good deal more wrong with this "design" example.

Results of analysis using the given numerical values  $(\beta = 0.4 \text{ for both layers and zero toe resistance})$ 



If the settlement is acceptable, there may be room for shortening the pile or increasing the load. That would raise the location of the neutral plane. Would then the pile settlement still be acceptable? And, would not some toe resistance develop? Let's assume that a static loading test has been carried out on the example pile and taken well beyond the the usual maximum movement. (N.B., to assume no toe resistance is too conservative and a small value has therefore been added to the example). Toe resistance is a function of toe movement. Let's also assume a toe response per a q-z function representative for a stiff clay, and a strain softening shaft resistance. Then, the loading test results in the following pile-head load-movement curves. The curve marked "initial" is from a test assumed carried out during the design phase. The curve marked "final" is assumed to have been carried after the consolidation (or most of it) caused by the fill has developed — we could have marked it "forensic", i.e., a test undertaken by the lawyers after the piled foundation showed to settle excessively.



Designers do not usually design for the final conditions — it is commendable that they did for this example, but the design must also consider the initial conditions. The structure is probably built at the same time as the fill is placed and before the consolidation process had strengthened the soil. I do not think everyone would be comfortable placing a sustained load of 300 KN on piles that plunge at 500 KN (test at initial condition).

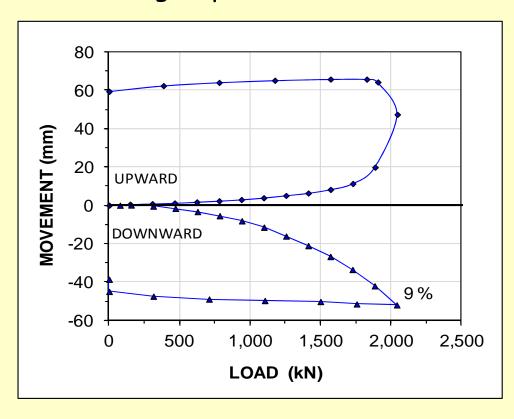
#### Example of the Unified Design Approach as Applied to a Refinery Structure

Design for a large refinery expansion was undertaken at a site reclaimed from a lake in the 1960s. The natural soils consist of sand deposited on normally consolidated, compressible post glacial lacustrine clay followed by silty clay till on limestone bedrock found at about 25 m to 30 m depth below existing grade. The site will be raised an additional 1.5 m, which will cause long-term settlement. Piled foundations are needed for all structures.

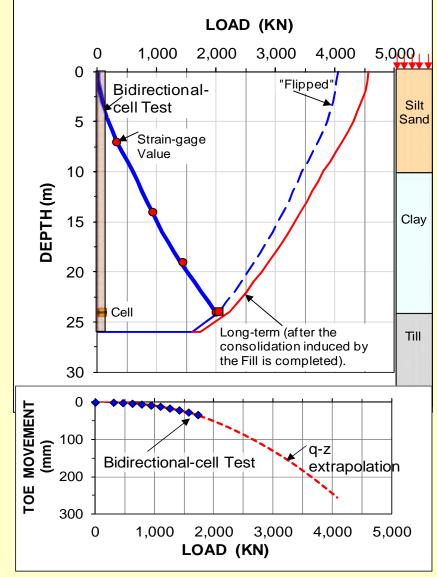
#### N (bl/0.3m); $q_t$ (MPa); $W_P$ , $W_n$ , and $W_L$ (%); 0 10 20 30 40 50 0 FILL GW N 5 SAND 10 Silty SAND DEPTH (m) 15 20 m CLAY 200 mr Wn Ŵр Wı 20 KPa 20 qt 100 m CLAY TILL 600 mr 25 9 MPa LIMESTONE BEDROCK 30

#### Soil Profile

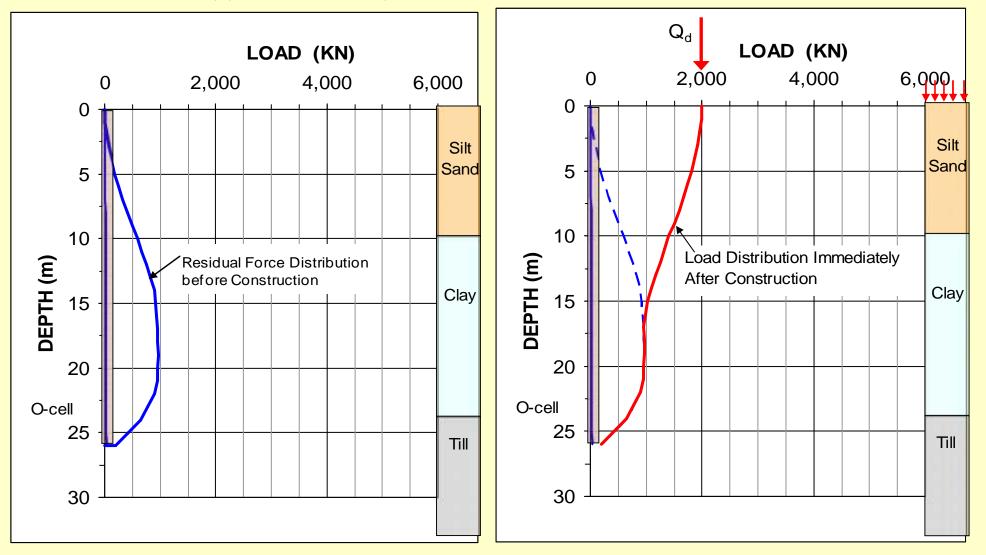
Results of a bidirectional-cell test on a 575-mm diameter test pile, a 26 m deep bored cylindrical pile. A 1.5 m thick fill will be placed over the site after construction. Piles are single or in small groups.



#### Results of analysis of test data: Load Distributions

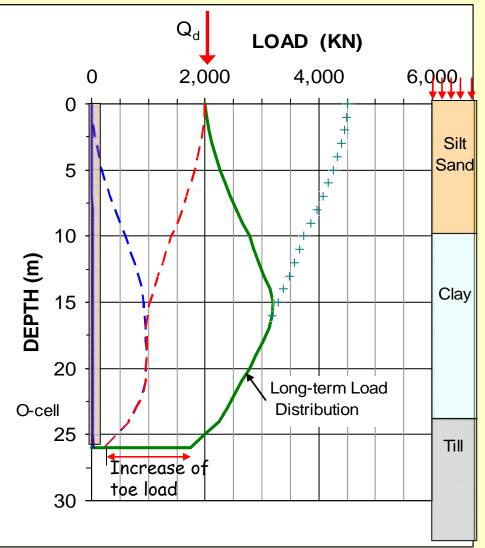


Distribution of residual force in the pile after installation, but before load is applied to the pile. Distribution of load in the pile immediately after the pile starts to sustain the load from the structure.

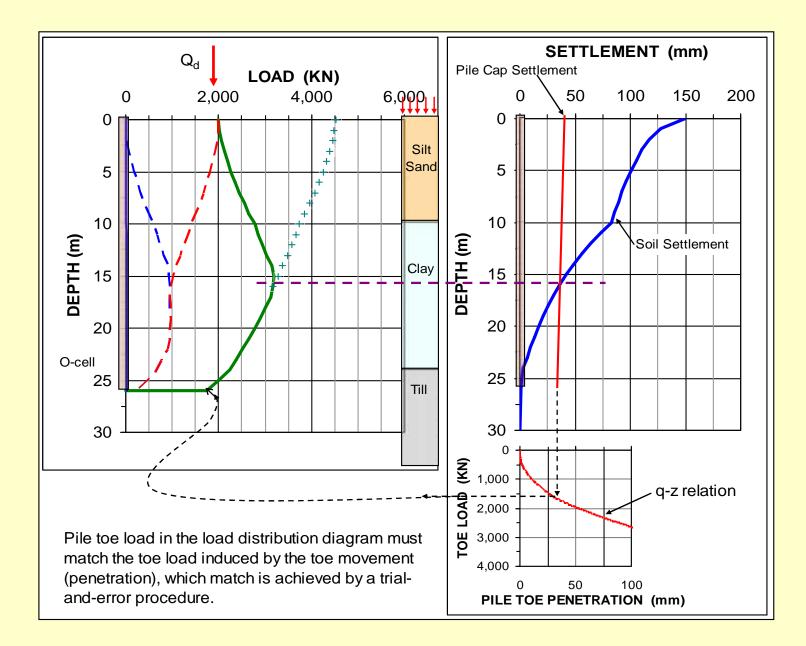


Other than at the pile toe, the amount of residual force and its distribution are not that interesting

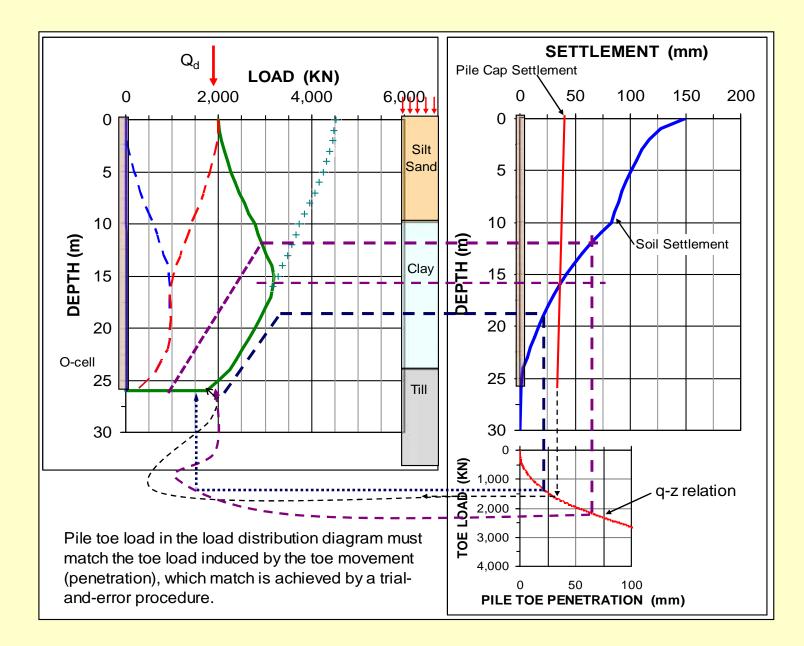
# Long-term load distribution



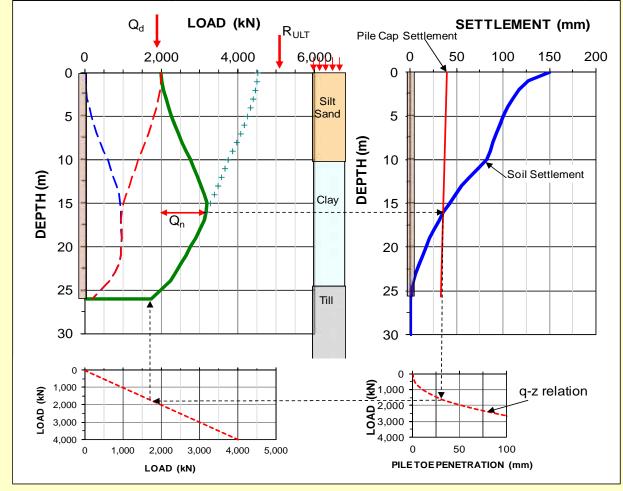
The shaft shear is assumed to be fully mobilized. However, the toe resistance value to use is a function of the toe penetration due to downdrag and can only be determined from assessing the soil settlement distribution. Force and settlement (downdrag) interactive design. The unified pile design for capacity, drag force, settlement, and downdrag



Force and settlement (downdrag) interactive design. The unified pile design for capacity, drag force, settlement, and downdrag



## <u>The Unified Piled Foundation Design</u> Force and settlement interactive design for capacity, drag force, settlement, and downdrag

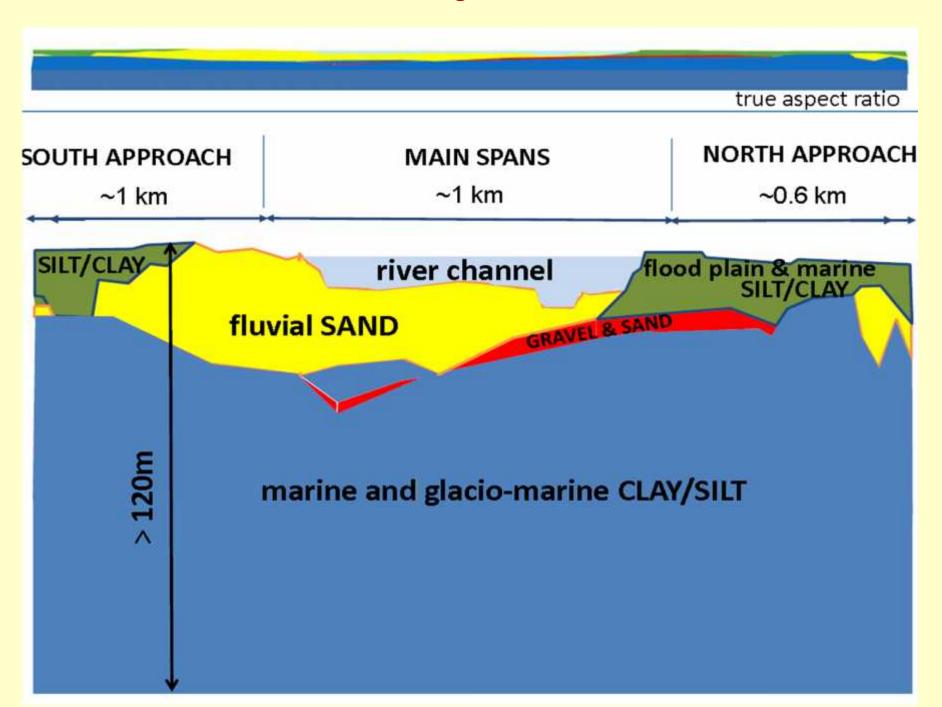


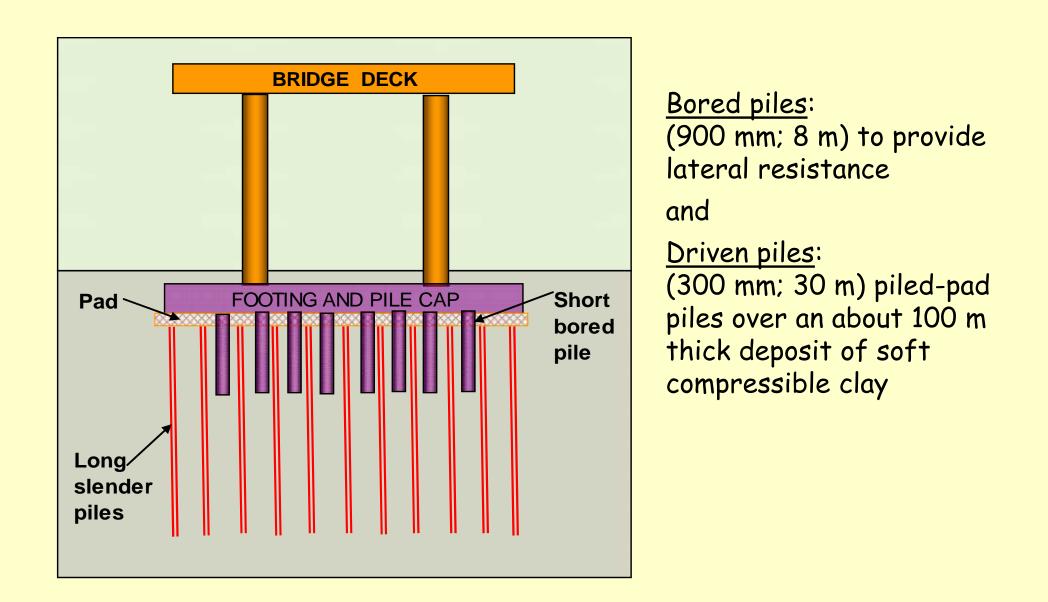
The design is based on three "*knowns*": The shaft resistance distribution, the toe load-movement response, and the overall settlement distribution.

A recent modern application of a piled pad foundation is the foundations for the Rion-Antirion bridge piers (Pecker 2004). Another is the foundations of the piers supporting the Golden Ears Bridge in Vancouver, BC (Sampaco et al., Naesgaard et al. 2012), pictured below.



#### Golden Ears Bridge in Vancouver, BC.





# J ShinHo and MyeongJi Housing Project, 6 in the estuary of the Nakdong River, Pusan, Korea **Project Managers: Drs. Song Gyo Chung and Sung Ryul Kim, Dong-A University, Busan**





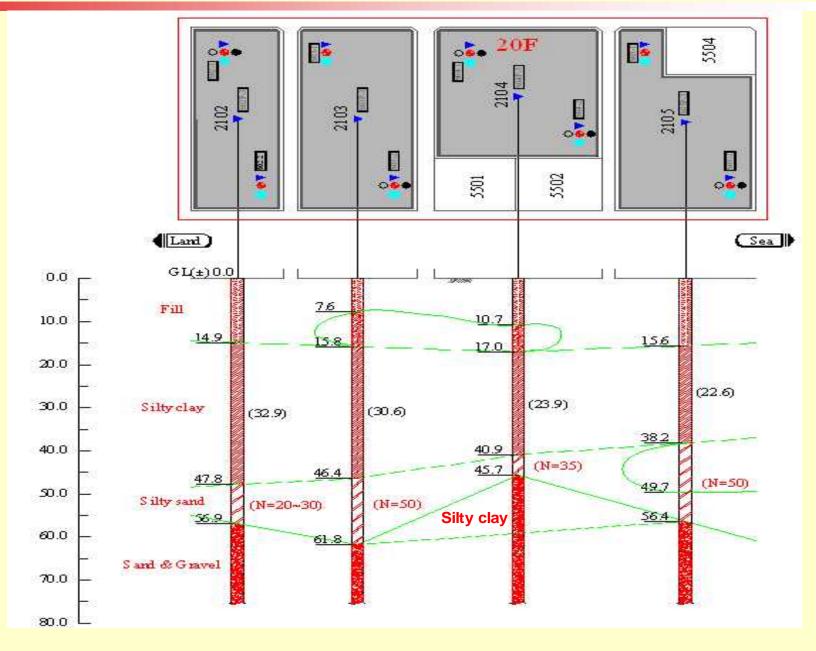


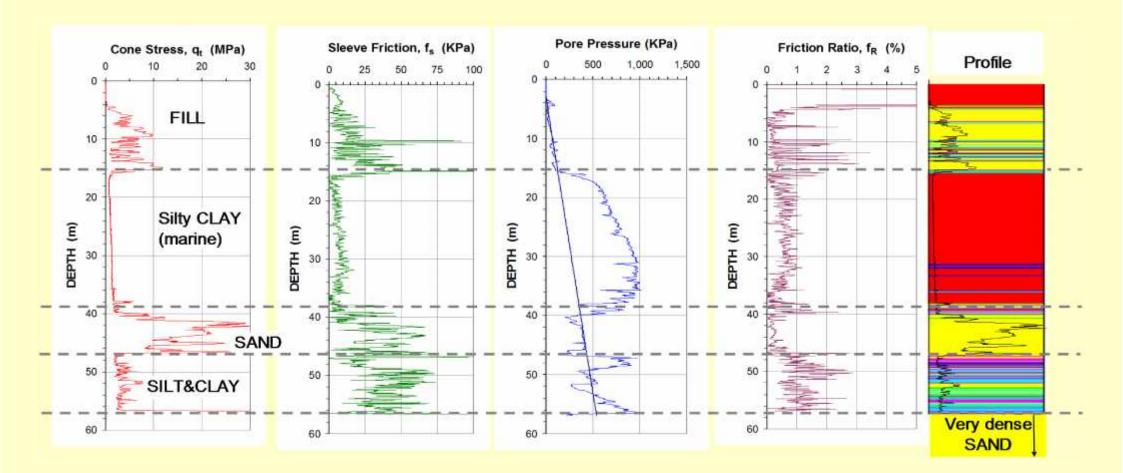
# AIR VIEW (Shinho Site)

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## **SITE PLAN (SH Site)**





CPTU sounding at the location of the Shin-Ho test pile The pile alternative investigated was a 600 mm diameter cylinder pile with a 100 mm wall driven closed-toe

The questions to resolve in the design were

- 1. What is the capacity in the different layers?
- 2. What is the depth to the force equilibrium/settlement equilibrium, i.e., the neutral plane
- 3. What will be the maximum load in the pile? Is the structural strength adequate?
- 4. What is the settlement of the pile as a function of the location of the neutral plane?

The field tests were designed to answer the questions. The foundation design was per the Unified Design Method. Total savings were about us\$300 million over the alternative of steel pipe piles (which included the drag force as an active load).

## Thank you for your attention